



2016-2017 Sponsor Evaluations (Quality Component) Critical Area C: Performance Contracting

Office of Community Schools - March 2017

Quality Practices Component

Six Critical Areas:

- A – Commitment and Capacity
- B – Application Process & Decision-Making
- C – Performance Contracting
- D – Oversight and Evaluation
- E – Termination and Renewal Decision-Making
- F – Technical Assistance

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Critical Area C

Performance Contracting

C. Performance Contracting

Critical Area Evaluates:

- Contract performance measures
- Contract terms for renewal and non-renewal
- Contract terms and processes for amendment and modification

C. Performance Contracting

3 Standards:

- C.01 – Contract Student Performance Measures
- C.02 – Contract Terms for Renewal and Non-Renewal
- C.03 – Contract Amendment and Updates

C.01 – Contract Student Performance Measures

The sponsor's contracts include a performance framework that defines each school's expected academic and non-academic outcomes with clear, measurable, and inclusive targets.

C.01 – Contract Student Performance Measures

Key Indicators

- Contracts include all applicable measures of student performance included on the state report card (e.g. proficiency rates on state tests, student academic growth, attendance rates, graduation rates).
- Contracts include additional academic and non-academic measures of student performance (e.g. student performance on other valid and reliable assessments, student engagement, student discipline, and post-secondary outcomes).

C.01 – Contract Student Performance Measures

Key Indicators (continued)

- Contracts include specific and rigorous metrics and targets for school-wide performance (e.g. 80% proficiency in 3rd grade reading, meet or exceed value added).
- Contracts include targets for student subgroups.

C.01 – Contract Student Performance Measures

Key Indicators (continued)

- Contracts include goals that compare the school's performance to other schools (e.g. schools serving similar populations, schools in the same geographic region, statewide community schools) and/or mission-specific academic goals.

C.01 – Contract Student Performance Measures

C.01 – Contract Student Performance Measures: The sponsor’s contracts include a performance framework that defines each school’s expected academic and non-academic outcomes with clear, measurable, and inclusive targets.

Key Indicators:

- Contracts include all applicable measures of student performance included on the state report card (e.g. proficiency rates on state tests, student academic growth, attendance rates, graduation rates).
- Contracts include additional academic and non-academic measures of student performance (e.g. student performance on other valid and reliable assessments, student engagement, student discipline, and post-secondary outcomes).
- Contracts include specific and rigorous metrics and targets for school-wide performance (e.g. 80% proficiency in 3rd grade reading, meet or exceed value added).
- Contracts include targets for student subgroups.
- Contracts include goals that compare the school’s performance to other schools (e.g. schools serving similar populations, schools in the same geographic region, statewide community schools) and/or mission-specific academic goals.

FAILURE OF THE SPONSOR’S REVIEWED CONTRACTS TO CONTAIN PERFORMANCE FRAMEWORKS WILL RESULT IN THE SPONSOR RECEIVING 0 POINTS FOR THIS STANDARD.

1 Point	2 Points	3 Points	4 Points
The majority of reviewed contracts do not include multiple measures of student performance.	The majority of reviewed contracts include multiple measures for student performance, but do not contain specific metrics and/or targets for subgroups of students.	All reviewed contracts include multiple measures of student performance, specific metrics and/or targets for subgroups of students.	3-Point Requirements –and– All reviewed contracts include targets that compare the school’s student performance to the state, schools serving similar populations, schools in the same geographic area and/or mission-specific goals.

C.01 – Contract Student Performance Measures

FAILURE OF THE SPONSOR’S REVIEWED CONTRACTS TO CONTAIN PERFORMANCE FRAMEWORKS WILL RESULT IN THE SPONSOR RECEIVING 0 POINTS FOR THIS STANDARD.

1 Point	2 Points	3 Points	4 Points
The majority of reviewed contracts do not include multiple measures of student performance.	The majority of reviewed contracts include multiple measures for student performance, but do not contain specific metrics and/or targets for subgroups of students.	All reviewed contracts include multiple measures of student performance, specific metrics and/or targets for subgroups of students.	3-Point Requirements –and– All reviewed contracts include targets that compare the school’s student performance to the state, schools serving similar populations, schools in the same geographic area and/or mission-specific goals.

Document Upload Guidance for the 2016-2017 Sponsor Evaluation, Quality Practices Component

C. Performance Contracting		
Quality Rubric Standard	Examples of Relevant Documents	Examples of Unacceptable Documents
<p>C.01 – Contract Student Performance Measures <i>The sponsor’s contracts include a performance framework that defines each school’s expected academic and non-academic outcomes with clear, measurable and inclusive targets.</i></p>	<p>Evaluators will randomly select a percentage of each sponsor’s contracts for review. Sponsors do not need to submit any documentation for Standard C1.</p>	
<p>C.02 – Contract Terms for Renewal and Non-Renewal <i>The sponsor specifies the renewal application process in each school’s contract.</i></p>	<p>Evaluators will randomly select a percentage of each sponsor’s contracts for review. Sponsors do not need to submit any documentation for Standard C2.</p>	
<p>C.03 – Contract Amendment and Updates <i>The sponsor updates its contract language to ensure consistency with changes in law and performance measures are updated annually to support higher achievement.</i></p>	<ul style="list-style-type: none"> - Evidence of a process for reviewing changes in federal and/or state law to determine the need for contract modifications - Evidence of a process for reviewing school data and/or changes in Ohio’s achievement reporting to determine the need for modifications to the contract performance measures 	<ul style="list-style-type: none"> - Operator contracts

C.01 – Contract Student Performance Measures

Documentation Guidance:

C. Performance Contracting		
Quality Rubric Standard	Examples of Relevant Documents	Examples of Unacceptable Documents
C.01 – Contract Student Performance Measures <i>The sponsor's contracts include a performance framework that defines each school's expected academic and non-academic outcomes with clear, measurable and inclusive targets.</i>	Evaluators will randomly select a percentage of each sponsor's contracts for review. Sponsors do not need to submit any documentation for Standard C1.	

C.02 – Contract Terms for Renewal and Non-Renewal

The sponsor specifies the renewal application process in each school's contract.

C.02 – Contract Terms for Renewal and Non-Renewal

Key Indicators

- Contracts specify a required high-stakes review to take place prior to contract renewal or at least every five years (whichever comes first).
- Contracts define the criteria and conditions for renewal beyond those listed in [ORC 3314.03\(A\)\(4\)](#) and [ORC 3314.07\(B\)\(1\) through \(4\)](#).

C.02 – Contract Terms for Renewal and Non-Renewal

A “high-stakes review,” for the purposes of Critical Area C: Performance Contracting, is a rigorous evaluation of a school’s performance (academic, financial, operational and governance) over the entire contract term.

C.02 – Contract Terms for Renewal and Non-Renewal

C.02 – Contract Terms for Renewal and Non-Renewal: The sponsor specifies the renewal application process in each school’s contract.

Key Indicators:

- Contracts specify a required high-stakes review to take place prior to contract renewal or at least every five years (whichever comes first).
- Contracts define the criteria and conditions for renewal beyond those listed in [ORC 3314.03\(A\)\(4\)](#) and [ORC 3314.07\(B\)\(1\) through \(4\)](#).

A “high-stakes review,” for the purposes of the Critical Area C: Performance Contracting, is a rigorous evaluation of a school’s performance (academic, financial, operational and governance) over the entire contract term.

FAILURE OF THE SPONSOR’S REVIEWED CONTRACTS TO SPECIFY REQUIRED HIGH-STAKES REVIEWS OR TO CONTAIN TERMS AND CONDITIONS REQUIRED OF SCHOOLS FOR CONTRACT RENEWAL WILL RESULT IN THE SPONSOR RECEIVING 0 POINTS FOR THIS STANDARD.

1 Point	2 Points	3 Points	4 Points
<p>The majority of reviewed contracts do not specify a high-stakes review to take place prior to renewal</p> <p>–or–</p> <p>The majority of reviewed contracts do not specify the level of performance required of schools for contract renewal.</p>	<p>The majority of reviewed contracts specify a high-stakes review to take place prior to renewal</p> <p>–or–</p> <p>The majority of reviewed contracts define the level of performance required of schools for contract renewal.</p>	<p>All reviewed contracts specify a high-stakes review to take place prior to renewal</p> <p>–or–</p> <p>All reviewed contracts clearly define the level of performance required of schools for contract renewal.</p>	<p>All reviewed contracts specify a high-stakes review to take place prior to renewal</p> <p>–and–</p> <p>All reviewed contracts clearly define the level of performance required of schools for contract renewal.</p>

C.02 – Contract Terms for Renewal and Non-Renewal

FAILURE OF THE SPONSOR’S REVIEWED CONTRACTS TO SPECIFY REQUIRED HIGH-STAKES REVIEWS OR TO CONTAIN TERMS AND CONDITIONS REQUIRED OF SCHOOLS FOR CONTRACT RENEWAL WILL RESULT IN THE SPONSOR RECEIVING 0 POINTS FOR THIS STANDARD.

1 Point	2 Points	3 Points	4 Points
<p>The majority of reviewed contracts do not specify a high-stakes review to take place prior to renewal</p> <p>–or–</p> <p>The majority of reviewed contracts do not specify the level of performance required of schools for contract renewal.</p>	<p>The majority of reviewed contracts specify a high-stakes review to take place prior to renewal</p> <p>–or–</p> <p>The majority of reviewed contracts define the level of performance required of schools for contract renewal.</p>	<p>All reviewed contracts specify a high-stakes review to take place prior to renewal</p> <p>–or–</p> <p>All reviewed contracts clearly define the level of performance required of schools for contract renewal.</p>	<p>All reviewed contracts specify a high-stakes review to take place prior to renewal</p> <p>–and–</p> <p>All reviewed contracts clearly define the level of performance required of schools for contract renewal.</p>

C.02 – Contract Terms for Renewal and Non-Renewal

Documentation Guidance:

C. Performance Contracting		
Quality Rubric Standard	Examples of Relevant Documents	Examples of Unacceptable Documents
C.02 – Contract Terms for Renewal and Non-Renewal <i>The sponsor specifies the renewal application process in each school's contract.</i>	Evaluators will randomly select a percentage of each sponsor's contracts for review. Sponsors do not need to submit any documentation for Standard C2.	

C.03 – Contract Amendment and Updates

The sponsor updates its contract language to ensure consistency with changes in law, and performance measures are updated annually to support higher achievement.

C.03 – Contract Amendment and Updates

Key Indicators

- The sponsor's reviewed contracts include language regarding the conditions for amendment or modifications.
- The sponsor has a process to review changes in federal and/or state law to determine the need for contract modifications.
- The sponsor has a process to review school data and/or changes to Ohio's achievement reporting to determine the need for modifications to the contract performance measures.

C.03 – Contract Amendment and Updates

C.03 – Contract Amendment and Updates: The sponsor updates its contract language to ensure consistency with changes in law, and performance measures are updated annually to support higher achievement.

Key Indicators

- The sponsor’s reviewed contracts include language regarding the conditions for amendment or modifications.
- The sponsor has a process to review changes in federal and/or state law to determine the need for contract modifications.
- The sponsor has a process to review school data and/or changes to Ohio’s achievement reporting to determine the need for modifications to the contract performance measures.

FAILURE OF THE SPONSOR’S REVIEWED CONTRACTS TO INCLUDE CONDITIONS FOR AMENDMENT OR MODIFICATIONS WILL RESULT IN THE SPONSOR RECEIVING 0 POINTS FOR THIS STANDARD.

1 Point	2 Points	3 Points	4 Points
The reviewed contracts include language regarding the conditions for amendment or modifications –but– The sponsor did not submit evidence of a process to review changes in federal and/or state law to determine the need for contract modifications.	The reviewed contracts include language regarding the conditions for amendment or modifications –and– The sponsor submitted evidence of a process to review changes in federal and/or state law to determine the need for contract modifications.	2-Point Requirements –and– The sponsor submitted evidence of updating its contract template based on changes in federal and/or state law.	3-Point Requirements –and– The sponsor submitted evidence of a process to review school data and/or changes to Ohio’s achievement reporting to determine the need for modifications to the contract performance measures.

Optional: The sponsor may submit a narrative explanation of how the submitted documents support the sponsor’s practice as it pertains to this standard. Evaluators may use narrative explanations in the scoring process if they are substantiated by documentary evidence.

C.03 – Contract Amendment and Updates

FAILURE OF THE SPONSOR’S REVIEWED CONTRACTS TO INCLUDE CONDITIONS FOR AMENDMENT OR MODIFICATIONS WILL RESULT IN THE SPONSOR RECEIVING 0 POINTS FOR THIS STANDARD.

1 Point	2 Points	3 Points	4 Points
<p>The reviewed contracts include language regarding the conditions for amendment or modifications</p> <p>–but–</p> <p>The sponsor did not submit evidence of a process to review changes in federal and/or state law to determine the need for contract modifications.</p>	<p>The reviewed contracts include language regarding the conditions for amendment or modifications</p> <p>–and–</p> <p>The sponsor submitted evidence of a process to review changes in federal and/or state law to determine the need for contract modifications.</p>	<p>2-Point Requirements –and–</p> <p>The sponsor submitted evidence of updating its contract template based on changes in federal and/or state law.</p>	<p>3-Point Requirements –and–</p> <p>The sponsor submitted evidence of a process to review school data and/or changes to Ohio’s achievement reporting to determine the need for modifications to the contract performance measures.</p>

Optional: The sponsor may submit a narrative explanation of how the submitted documents support the sponsor’s practice as it pertains to this standard. Evaluators may use narrative explanations in the scoring process if they are substantiated by documentary evidence.

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Optional: The sponsor may submit a narrative explanation of how the submitted documents support the sponsor's practice as it pertains to this standard. Evaluators may use narrative explanations in the scoring process if they are substantiated by documentary evidence.

C.03 – Contract Amendment and Updates

Documentation Guidance:

C. Performance Contracting		
Quality Rubric Standard	Examples of Relevant Documents	Examples of Unacceptable Documents
C.03 – Contract Amendment and Updates <i>The sponsor updates its contract language to ensure consistency with changes in law and performance measures are updated annually to support higher achievement.</i>	<ul style="list-style-type: none">- Evidence of a process for reviewing changes in federal and/or state law to determine the need for contract modifications- Evidence of a process for reviewing school data and/or changes in Ohio’s achievement reporting to determine the need for modifications to the contract performance measures	<ul style="list-style-type: none">- Operator contracts

Contract Review

- Evaluators will randomly select at least 10% of each sponsor's schools for contract review
 - The selection will reflect the range of the sponsor's portfolio of schools
 - e.g. site-based schools, e-schools, DOPR, etc.

Contract Review

- Sponsors should **NOT** upload contracts for any standard
- Sponsors **SHOULD** ensure that the most recent contract, including all attachments and modifications, has been uploaded to Epicenter for each of its schools

Contract Review

- The list of selected schools for contract review may be different from the list of schools selected for other rubric items

C. Performance Contracting

- Ensures that contracts include a performance framework that defines each school's expected academic and non-academic outcomes with clear, measurable, and inclusive targets.
- Specifies the renewal application process in each school's contract.
- Updates its contract language to ensure consistency with changes in law and makes sure that performance measures are updated annually to support higher achievement.

2016-17 Evaluation Document Submission

Document submission window in Epicenter:

- Quality Practice: February 3 – April 30

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