



Compliance with All Laws and Rules Component

Office of Community Schools - January 31, 2017

Ohio | Department
of Education

The Process

- The List
- Certification
- Evidence Submission
- On-Site Review and Scoring

The Process

- **The List**
- Certification
- Evidence Submission
- On-Site Review and Scoring

List of All Laws and Rules

- ALL Applicable Laws and Rules
- Sponsor / Oversight of Schools
- Categories
- Numbering System
- Component Questions

The Process

- The List
- **Certification**
- Evidence Submission
- On-Site Review and Scoring

Certification

Submit one sponsor file for sponsor items

Submit one Oversight of Schools file for EACH sponsored school

New columns to aid in determination

Item Certification

New/Modified Spreadsheet Columns

Compliance Component Question 1	Q1 Answer	Compliance Component Question 2	Q2 Answer	Initial Certification Determination	Required Documentation	Corrective Action Plan Question	CAP Answer

Certification Determination

Sponsor Certified Compliant

Sponsor Certified Compliant -
Documentation Required

Sponsor Certified Not Compliant

Sponsor Certified Not Applicable

Item Certification Spreadsheet Functionality

Single Question Example

Compliance Component Question 1	Q1 Answer	Compliance Component Question 2	Q2 Answer	Initial Certification Determination	Required Documentation	Corrective Action Plan Question	CAP Answer
Does the school have a governing board approved policy regarding habitual truancy and intervention strategies? Yes/No					Copy of appropriate school policy		

Item Certification Spreadsheet Functionality

Single Question Example

Compliance Component Question 1	Q1 Answer	Compliance Component Question 2	Q2 Answer	Initial Certification Determination	Required Documentation	Corrective Action Plan Question	CAP Answer
Does the school have a governing board approved policy regarding habitual truancy and intervention strategies? Yes/No	No			Sponsor certified not compliant	Copy of appropriate school policy	If the Certification Determination is <i>Sponsor Certified Not Compliant</i> , did the sponsor previously identify the non-compliance and place the school on a Corrective Action Plan? <i>CAP Copy Required</i>	

Enter the answer to the listed question... (If No... Not Compliant...AND...)

Item Certification - CAP

Initial Certification Determination	Corrective Action Plan Question	CAP Answer
Sponsor certified not compliant	If the Certification Determination is <i>Sponsor Certified Not Compliant</i> , did the sponsor previously identify the non-compliance and place the school on a Corrective Action Plan? Yes/No <i>CAP Copy Required</i>	Yes

Item Certification Spreadsheet Functionality

Single Question Example

Compliance Component Question 1	Q1 Answer	Compliance Component Question 2	Q2 Answer	Initial Certification Determination	Required Documentation	Corrective Action Plan Question	CAP Answer
Does the school have a governing board approved policy regarding habitual truancy and intervention strategies? Yes/No	Yes				Copy of appropriate school policy		



Enter the answer to the listed question... (If Yes...

Item Certification Spreadsheet Functionality

Single Question Example

Compliance Component Question 1	Q1 Answer	Compliance Component Question 2	Q2 Answer	Initial Certification Determination	Required Documentation	Corrective Action Plan	CAP Answer
Does the school have a governing board approved policy regarding habitual truancy and intervention strategies? Yes/No	Yes			Sponsor Certified Compliant - Documentation Required	Copy of appropriate school policy		

Enter the answer to the listed question... (If Yes... Compliant)

What constitutes a policy?

- **In writing**
- **May be available on website**

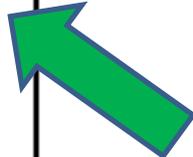
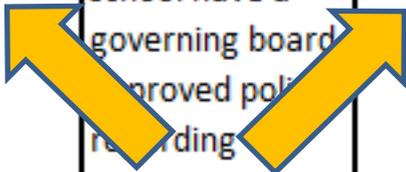
Examples

- Board approved policy (if required)
- School Handbook
- Student Handbook
- Contract Section

Item Certification Spreadsheet Functionality

Double Question Example

Compliance Component Question 1	Q1 Answer	Compliance Component Question 2	Q2 Answer	Initial Certification Determination	Required Documentation	Corrective Action Plan Question	CAP Answer
Did the school withdraw any students? Yes/No	Yes	If yes, does the school have a governing board approved policy regarding habitual truancy and intervention strategies? Yes/No	Yes	Sponsor Certified Compliant	None		



Enter the answers to Question 1 and Question 2... Yes, Yes = Compliant

Item Certification Spreadsheet Functionality

Double Question Example

Compliance Component Question 1	Q1 Answer	Compliance Component Question 2	Q2 Answer	Initial Certification Determination	Required Documentation	Corrective Action Plan Question	CAP Answer
Did the school withdraw any students? Yes/No	Yes	If yes, does the school have a governing board approved policy regarding habitual truancy and intervention strategies? Yes/No	No	Sponsor Certified Not Compliant	None	If the Certification Determination is <i>Sponsor Certified Not Compliant</i> , did the sponsor previously identify the non-compliance and place the school on a Corrective Action Plan? <i>CAP Copy Required</i>	

Enter the answers to Question 1 and Question 2... Yes, No = Not Compliant

Item Certification Spreadsheet Functionality

Double Question Example

Compliance Component Question 1	Q1 Answer	Compliance Component Question 2	Q2 Answer	Initial Certification Determination	Required Documentation	Corrective Action Plan Question	CAP Answer
Did the school withdraw any students? Yes/No	No	If yes, does the school have a governing board approved policy regarding habitual truancy and intervention strategies? Yes/No		Sponsor Certified Not Applicable	None		

Note: In the original image, a red circle highlights the word 'If' in the Q2 text, a yellow arrow points to 'No' in the Q1 answer, a red 'X' is over the Q2 answer cell, and a blue arrow points to 'Not Applicable' in the Initial Certification Determination cell.

Enter the answers to Question 1 and Question 2 as appropriate...

AND...

Item Certification Spreadsheet Functionality

AND...

Compliance Component Question 1	Q1 Answer	Compliance Component Question 2	Q2 Answer	Initial Certification Determination	Required Documentation	Corrective Action Plan Question	CAP Answer
Did the school have any cases of truancy? Yes/No		If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No			Documentation of truancy cases and outcomes (Please be sure to redact any personally identifiable information)		

Item Certification

AND...

Compliance Component Question 2	Answer Q2
If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No	

Item Certification

AND...

Compliance Component Question 2	Answer Q2
If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No	

Item Certification

AND...

Compliance Component Question 2	Answer Q2
<p>If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No</p>	

Item Certification

AND...

Compliance Component Question 2	Answer Q2
If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No	Yes + Yes = Yes

Item Certification

AND...

Compliance Component Question 2	Answer Q2
If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No	No + No = No

Item Certification

AND...

Compliance Component Question 2	Answer Q2
If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No	Yes + No = No

Item Certification

AND...

Compliance Component Question 2	Answer Q2
If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No	No + Yes = No

Preliminary Results Only

Did you have a school close?

School Closure

- Timing
- Carryover Items

The Process

- The List
- Certification
- **Evidence Submission**
- On-Site Review and Scoring

Evidence Submission

- Certification Only
- Required Documentation
- Corrective Action Plan

- Confidential Personally Identifiable Information?
 - Rethink
 - Redact

Sponsor Certification

- Spreadsheets
 - One per Sponsor
 - One for each school
- Certification Sign-off

The Process

- The List
- Certification
- Evidence Submission
- **On-Site Review and Scoring**

On-Site Review

- Random selection of 10% of sponsored schools
- Selected items to be announced
- Attendance at the review

Scoring

- Vendor Determination of Individual Item Compliance (Schools and Sponsor)
- Oversight of Schools Calculation
- Compliance Component Calculation

The Process

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Questions?

**Please submit on
the provided cards**



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