

**Below are changes that have been made to the Compliance Worksheet - Sponsor since its use for the 2016-2017 evaluation**

Item Number	2017-2018 Notes/Changes
	Removed second column entitled "No. 2015-1026."
S-101	Updated school years to reflect use of 2016-17 data. Changed due date to reflect November 2017.
S-502	Changed Question 2 to add "AND do you have a plan of action in place should a school you sponsor experience financial difficulties or close before the end of the school year" and added "AND a copy of the plan of action" to the Required Documentation.
S-503	Changed Question 1 to add "new" and updated school year to reflect 2017-2018.
S-601	Changed Question 2 from "did you maintain a presence at any and all meetings with the Auditor of State" to "did you maintain a presence at pre-audit meetings, post audit meetings, and any meetings in which audit issues were discussed, whether in person or on a conference call with the Auditor of State as required by statute". Removed "for which the sponsor was notified of audit" from Required Documentation and changed to "list of schools and associated audit meetings."
S-603	Changed Question 1 to "During the 2017-2018 school year did you open a new school or enter into an agreement to sponsor a new school in the Cleveland Municipal School District" from "Did you open any schools in the current school year in the Cleveland Metro School District." Changed Question 2 to "If yes, did you comply with ORC 3311.86(E) and receive authorization to sponsor new community schools in the Cleveland Municipal School District OR are you exempt under ORC 3314.021 or 3311.027." Changed Required Documentation from "Recommendation of the Transformation Alliance" to "Copy of authorization or explanation of exemption."
S-605	Added "you" to second half of Question 2.
S-607	Changed "entered" to "enter" and updated year to 2017-2018 in Question 1. Changed Required Documentation to "Copy of contract between the sponsor and the schools for the sale of goods or services (or reference to applicable page numbers if found in contract posted on Department website."
S-609	Changed Question 1 to add "ensured that it was made available to parents." Changed Required Documentation from "Copy of notice to parents" to "Evidence that ensures that the evaluation results were made available to parents."
S-610	Updated date to January 15, 2018 in Question 2.
S-613	Updated year to 2017-2018 in Question 1.
S-615	Added OAC reference.

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S-616	Added to reflect requirement of ORC 3314.02.
S-617	Added to reflect requirement that became effective July 1, 2017.
S-618	Added to reflect new requirement.

**Below are changes that have been made to the Compliance Worksheet – Oversight of Schools since its use for the 2016-2017 evaluation**

Item Number	2017-2018 Notes/Changes
	Removed second column entitled "2015-2016 No."
102	Changed Question 1 from "Does the school ensure that it does not charge tuition to students participating in the joint education program" to "Is the school a party to an agreement for joint education program(s)." Added Question 2 which was previously question 1 for 2016-2017 review.
103	Changed Question 1 from "Does the school permit students to engage in distance learning" to "Did the school have one or more students complete a course from the distance learning clearinghouse?".
104	Modified Question 1 to specify grades 7 and/or 8 rather than 7 or greater.
105	Added "board-approved" to Question 1. Added Question 2 regarding annual update. Added evidence of board approval and evidence of annual review/update to Required Documentation description.
106	Changed "the school" to "it" in Question 2.
107	Removed "Ohio" reference in both the ORC Description and Question 1 and added "as it relates to interventions" in Question 1.
108	Changed "program" to "model" and added specific ORC reference to Question 2. Added notation regarding Required Documentation to be used for the evaluation.
109	Changed "RC" to "ORC" for the ORC 3314 reference. Added "or that it ceased operating using a blended model by July 1 of the school year for which the changes is effective" to ORC Description. Changed Question 1 from "Does the school offer a blended learning program?" to "Did the school either commence operating a blended learning model or cease operations using a blended learning model during the 2017-2018 school year?" Changed Question 2 to from "does the school comply with the requirements of law to notify the Department AND does the contract comply with requirements set forth in law" to "did the school comply with the requirements of law to notify the Department by July 1, 2017."
110	Changed the ORC Description from "timely submits a copy of its calamity day plan to the Department and distributes "blizzard bags" to students as an equivalent to materials or assignments posted online" to "may adopt a plan that requires students to access and complete classroom lessons posted on the school's web portal or website to make up hours if the school closes for purposes identified in ORC 3313.482." Changed Question 2 from "did the school timely report its plan to distribute blizzard bags to students" to "did the school follow that plan."

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Item Number	2017-2018 Notes/Changes
111	Removed "cont." from ORC Section. Changed Question 2 from "the Department's model plans" to "is the policy updated at least once every two years." Added evidence of board review and update every two years to Required Documentation description
112	Corrected statute citation in ORC Description.
114	Added ORC reference to Question 1.
116	Changed Question 1 from "offer grades 3 and 4" to "serve grade 3."
117	Changed Question 1 from "offer" to "serve."
118	Changed Question 1 from "offer grades 3 and 4" to "serve grades 3 and/or 4."
119	Added to reflect new statutory requirement, effective 9/29/2017.
120	Added to reflect new requirement.
126	Changed Question 1 from "Is this a secondary school" to "Does this school serve secondary school grades 9-12." Changed "does" to "did" and "annually" to "by February 1" to reflect HB49 requirement, and added clarifying information regarding required grades in Question 2.
127	Added "and submit it to the Chancellor of Higher Education" to Question 2.
128	Changed Question 1 to add clarification for grades 9-12.
129	Changed "Secondary Schools" to "Grades 7+" under the Applies To column.
130	Changed "Secondary Schools" to "Grades 7+" under the Applies To column.
131	Changed "enroll" to "serve" in Question 1.
133	Added "or the entity that hires the staff" to Question 1. Changed "employ" to "sure" and removed "and qualified teachers to monitor reading improvement plans" in Question 2.
134	Changed Question 1 to add participation clarifier. Changed Question 2 to add course credit information.
135	Changed Question 1 to add "who met the applicable eligibility criteria for participation in college credit plus." Changed Question 2 from "in grades 7 and 8" to "in those grades."
137	Changed Question 1 to add clarification for grades 9-12.
138	Changed "Secondary Schools" to "Grades 7+" under the Applies To column.
146	Added OAC reference to Question 2.
147	Added ORC and OAC references to Question 2
148	Added "AND did the Department identify issues as out of compliance during the annual inspection" to Question 1. Changed Question 2 from "does the school ensure that the program is in compliance with the law" to "did the school correct the identified issues."

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Item Number	2017-2018 Notes/Changes
149	Added ORC reference to Question 2.
150	Added ORC reference to Question 2.
156	Changed Question 1 from "does the offer grades 7 or greater" to "is the school a high school".
157	Changed Question 1 from "serve grade 12" to "serve grade 9-12" as a student doesn't have to be in 12th grade to graduate.
159	Changed Question 1 from "serve high school grades" to "serves grade 9-12" as a student doesn't have to be in 12th grade to graduate.
160	Changed Question 1 from "serve high school grades" to "serve grade 9-12" as a student doesn't have to be in 12th grade to graduate.
161	Changed Question 1 from "serve high school grades" to "serve grade 9-12" as a student doesn't have to be in 12th grade to graduate. Changed "three pathways for graduation" to "the pathways for graduation as stated in ORC."
162	Changed Question 1 from "serve high school grades" to "serve grade 9-12" as a student doesn't have to be in 12th grade to graduate. Changed "Test" to "tests" in Question 2.
163	Added reference to LEAP program in Question 2.
164	Changed Question 1 from "Does the school offer high school credit for students that take post-secondary courses outside of the normal school day" to "Does the school deny high school credit for students that take post-secondary courses outside of the normal school day during periods of expulsion." Changed Question 2 from "does the school deny credit during periods of expulsion" to "did the school's board adopt a policy denying credit during periods of expulsion". Added board approval and evidence to Required Documentation description.
165	Changed Required Documentation from "None" to "Copy of published information."
171	Added OAC reference to Question 1.
175	Added OAC reference to Question 1.
176	Added OAC reference to Question 1.
177	Added OEC reference to ORC Description. Added OAC reference to Question 1.
178	Added OAC reference to Question 1.
179	Added ORC reference to Question 1.
182	Added ORC reference to Question 1.
183	Changed ORC reference in ORC Description and Question 1 from 3314.19 to 3323.052.
185	Changed "agency" to "entity" in Question 1.

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Item Number	2017-2018 Notes/Changes
197	Changed Question 2 to add reference to advanced standing course.
200	Changed ORC Description from "the school's career-technical education plan for students in grades 7 through 12 is approved by the Department timely" to "the school may provide career-technical education for students in grades 7 through 12." Changed Question 1 from "does the school serve grades 7-12 AND offer career-technical education" to "does the school serve grades 7 and/or 8." Changed Question 2 from " does the school have a career technical education plan approved by the Department AND has the plan been updated within the last five years" to "does the school make available career technical education to all grades served in the identified range through the school or another available option OR has the school adopted a resolution specifying the school's intent not to provide career technical education to students enrolled in grades seven and eight." Changed Required Documentation from "None" to "Statement of how career-technical education is offered OR copy of board-adopted resolution."
202	Added ORC reference to Question 2.
216	Removed reference to graduation assessments in ORC Description and Question 1, as the cited statutes apply to all required state assessments
217	Replaced text specific to individual student performance and less than 75% 3-year average graduation rate with reference to ORC in the ORC Description and Question 1. Removed Required Documentation requirement.
218	Changed ORC Description and Question 2 to reflect change per House Bill 49 regarding consecutive years at the same school.
221	Added item based on House Bill 49 requirement.
301	Changed Question 2 from "does the school supply computers to those students AND follow statutory requirements" to "does the school supply computers to those students as required by ORC 3314.22(C)." Changed Required Documentation to "None," as statute does not reference a policy requirement. Changed formulas accordingly.
304	Combined Items 303 and 304 into one item.
306	Updated ORC Section references. Changed Question 1 from "Has the school adopted policies and procedures regarding the maintenance of records for a delinquent child and related court records" to "Did the school have any delinquent students as defined in ORC 2152.02(E)." Added Question 2. Removed documentation requirement.

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307	Changed Question 1 from “Does the school take steps in accordance with ORC Chapter 1347 to protect confidential student information” to “Does the school take steps in accordance with ORC Chapter 1347 to protect information that must be kept confidential by law?”
311	Added "AND did the Department request SSID information for any participating student" to Question 1. Changed Question 2 from “then does the school provide SSID information when requested by the Department” to “did the school provide the SSID information for students receiving the Autism Scholarship when requested.”
312	Modified Question 1 to ask if any student applied for the Educational Choice Scholarship Program. Added Question 2. Modified spreadsheet formulas accordingly.
313	Modified Question 1 to ask if any student applied for the Jon Peterson Special Needs Scholarship Program. Added Question 2. Modified spreadsheet formulas accordingly.
314	Added "AND did the Department request SSID information for any applicant " to Question 1
317	Modified the ORC description to add "upon request of the Department or the Auditor of State."
401	Added board-approved school policy and evidence of approval to Required Documentation.
402	Moved from Supplement 1.
405	Moved from Supplement 1. Added ORC 3313.668 reference to ORC Section. Modified ORC Section Title to include the removal from school based on absences. Changed “Please be sure to redact any personally identifiable information” to “Submit requested policies and procedure documentation only – do NOT submit individual student information.”
406	Added board-approved school policy and evidence of approval to Required Documentation.
407	Added item. The ORC citation was originally included in Item 306, but was not addressed by the questions.
426	Changed "policy" to "procedures" and added board-approved school admission procedures and evidence of approval to Required Documentation.
427	Added board-approved school policy and evidence of approval to Required Documentation.
428	Changed Question 1 from "Did the school enroll any student under the compulsory school age" to "Did the school assign an SSID to any incoming student." Changed Question 2 from "did the school ensure that the student was assigned an SSID" to "did the school ensure that the student was not previously assigned an SSID."
436	Removed "of newly admitted" and added "during the admissions process" in Question 1. Removed "that includes report card" from the Required Documentation description and added checklist as acceptable documentation.

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Item Number	2017-2018 Notes/Changes
438	Changed Question 1 from "and" to "and/or." Added "that meets statutory requirements" to Question 2.
501	Added "real" to Question 1. Changed Question 2 from "did the school follow proper disposal procedures" to "did the school first offer the property to the district at the appraised fair market value to and keep the offer open for a period of sixty days before disposing of the real property in another lawful manner." Changed Required Documentation from "Documentation of disposal procedures" to "Documentation of offer to district and disposal."
503	Updated ORC references under ORC Section and ORC 3314 reference. Changes Required Documentation to "None" based on FAQ stating data is available on the ODE Local Report Card website.
504	Changed ORC description from "annually, the school provides a financial plan detailing an estimated budget and the per pupil expenditures" to "the school provides a financial plan detailing an estimated budget and the per pupil expenditure for each year of the contract." Changed Question 1 from "did the school provide a financial plan and estimated budget with per pupil expenditures" to "did the school's contract include a yearly financial plan and estimated budget with per pupil expenditures for each year of the term of the contract". Updated Required Documentation to "None."
506	Changed Required Documentation from "evidence that the financial report was filed" to "copy of the confirmation page from the submission of the financial report."
507	Replaced "has it posted a bond" with "did it post a bond, guarantee or cash deposit" in Question 2.
518	Added ORC reference to ORC Section. Changed Required Documentation from "sponsor-approved resolution for the current school year" to "board-approved resolution for the current school year and evidence of sponsor approval of the resolution."
520	Added "to act as a management company or operator" to Question 1.
601	Added ORC references under ORC Section. Added "purchase or procurement of insurance for educational employees; placement or purchase of tax sheltered annuity for educational employees" to ORC Section Title. Changed ORC Description from "the school does not make contributions to SERS for certain employees if the operator withholds and pays employee and employer taxes pursuant to 26 USC 3101(a) and 26 USC 3111(a)" to "community schools may employ teachers and non-teaching employees to carry out its mission and fulfill its contract." Added "and make appropriate withholdings" to Question 1. Added "or management company" to Question 2.

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Item Number	2017-2018 Notes/Changes
604	Changed ORC Section Title from "Chapter 117 Notes" to "Auditor of State." Broke the question into two questions - Question 1 to determine if the item is applicable for the review year. Changed Required Documentation from evidence of training to evidence of providing information.
606	Removed "policy, procedures and practice" from the ORC Description. Changed "does school's policy, procedures and practice demonstrate that an employee is not penalized for being called to jury duty " to "did the school or the entity that employs its staff, have one or more employees called for jury duty." Added Question 2. Changed Required Documentation to "None."
609	Temporarily removed requirement. This item will be required for the 2018-2019 sponsor evaluation.
616	Changed Question 1 to add "contract or retain."
617	Changed Question 1 to add "contract or retain." Removed "employed by the school" from Question 2.
620	Added "or entity that employs its staff" to both Question 1 and Question 2.
631	Changed "in" to "part of" in Question 1.
632	Changed "in" to "part of" in Question 1.
633	Added "by a public school district" to Question 1.
634	Changed Question 1 from "does the school ensure that the treasurer/fiscal officer has never been convicted of dereliction of duty or meet an exception to the law" to "does the school have a treasurer/fiscal officer that has been convicted of dereliction of duty or meet an exception to the law."
636	Added "or the entity that hires the staff" to Question 1.
637	Changed Question 1 to mirror other employment-related transportation-related items.
665	Changed "in" to "part of" in Question 1.
666	Moved item from Supplement 1. Changed Question 1 from "is the governing authority aware and trained regarding ethics obligations and public employees" to "is the governing authority aware of ethics laws outlined in Chapter 102 of the Revised Code." Added evidence of policy and disclosure statements to Required Documentation.
668	Removed "and at least one person, as designated by the school, has attended training approved by the attorney general about the school's obligations pertaining to public records" from the ORC Description. Changed Question 1 from "fill public records requests timely and have at least one person, designated by the school, has attended training approved by the attorney general about the school's obligations pertaining to public records" to "have a public records request policy that meets statutory requirements."

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669	Added requirement item.
670	Added requirement item.
705	Added board approval and evidence to Required Documentation.
706	Removed "5120.173, 4731.15, 3107, 5103" from the ORC Section.
708	Updated references in the ORC Section. Changed Question 1 from "does the school have a diabetes care policy" to "did the school have any enrolled students that have diabetes". Added Question 2. Removed documentation requirement.
712	Added ORC reference to ORC Section.
715	Added to reflect new statutory requirement, effective 3/14/2017.
716	Added requirement item.
754	Changed Required Documentation from "Copy of program" to "Copy of program materials."
755	Added Question 2.
756	Changed Required Documentation from "evidence of compliance with required eye protection" to "copy of school policy or regulations regarding required eye protection."
761	Added ORC 3319.073 reference. Added "in-service training in child abuse prevention programs, school safety and violence prevention, and training on the board's harassment, intimidation, or bullying policy" to the ORC Section Title. Added "and provided associated in-service training" to the ORC Description. Added "AND provided in-service training as required by statute" to Question 1. Added board approval and evidence of board approval to Required Documentation.
776	Added board-approved to required documentation description
778	Added "performing" to Question 2 regarding the Heimlich maneuver.
780	Made item inactive and marked as Not Applicable
782	Added "AND does the school offer a summer education program" to Question 1. Added governing authority option to Question 2. Added documentation requirement.
801	Moved from Supplement 1. Changed Question 1 from "its students" to "any of its students." Changed Question 2 to "If yes, for those students, does the district ensure that no fees are charged as required by statute?"
802	Changed Question 1 from "the local school district(s)" to "one or more local school districts."
803	Moved from Supplement 1. Changed Question 1 to "does the school (not the local district) provide transportation for any of its students." Changed Question 2 to "does the school have an approved agreement with the affected district of residence that has also been signed by the sponsor."

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Item Number	2017-2018 Notes/Changes
804	Changed Question 1 from “its students” to “any of its students.”
805	Changed Question 1 from “its students” to “any of its students.”
806	Changed Question 1 from “its students” to “any of its students.”
807	Changed Question 1 from “its students” to “any of its students.”
808	Changed Question 1 from “its students” to “any of its students.”
809	Changed Question 1 from “its students” to “any of its students.”
810	Added “any of its” to Question 1.
811	Changed Question 1 from “its students” to “any of its students.”
813	Changed Question 1 from “its students” to “any of its students.”
814	Changed Question 1 from “its students” to “any of its students.”
815	Updated ORC Description from “manner consistent with the IEP” to “manner consistent with all of the students respective IEPs.” Changed Question 1 from “its students” to “any of its students.” Changed Question 2 to “when transporting students with IEPs does the school ensure it is properly transporting the students in a manner consistent with their respective IEPs?” from “does the school ensure it is properly transporting students with IEPs.”
816	Changed Question 1 from “its students” to “any of its students.”
817	Changed Question 1 from "does the school have students enrolled in College Credit Plus" to "did the school have any students participate in the College Credit Plus program."
901	Added board approval and evidence to required documentation description.
902	Added board approval and evidence to required documentation description.
903	Added board approval and evidence to required documentation description.
954	Updated the ORC description to remove “participates in the missing children clearinghouse and.” Reduced item from two questions to one question.