

2019-2020 Compliance Worksheet Change Log

Updates from files used for the 2018-2019 Sponsor Evaluation

Below are changes that have been made to the **Compliance Worksheet - Sponsor** since its use for the 2018-2019 Sponsor Evaluation.

Item Number	2019-2020 Notes/Changes
S-101	Updated the school years to reflect the use of 2018-2019 data.
S-102	Added this compliance item based on requirements in ORC 3314.21.
S-501	Added Compliance Component Questions 1 and 2.
S-502	Removed citation from ORC 3314 reference column.
S-503	Updated school year to reflect 2019-2020 in Compliance Question 1.
S-602	Updated citation in ORC Section column. Changed Compliance Component Question 1 from “Did you propose to open a community school in an alliance municipal school district?” to “Did you propose to open a community school in an alliance municipal school district AND did the school open during the 2019-2020 school year or plan to open during the 2020-2021 school year?”
S-603	Updated school year to reflect 2019-2020 in Compliance Question 1.
S-605	Changed Compliance Question 1 from “Did you enter into a preliminary agreement to open a new or conversion community school during the current school year?” to “Did you open or are you planning to open a new or conversion community school during the current school year?” Changed Compliance Component Question 2 from “If yes, did you receive a proposal and enter into an agreement pursuant to ORC 3314.015, OR are you exempt from this provision?” to “If yes, did you receive a proposal and enter into a preliminary agreement pursuant to ORC 3314.015, OR are you exempt from this provision?” Removed “Evaluators will use preliminary agreement(s) submitted to the Department, if applicable” from Required Documentation.
S-607	Updated school year to reflect 2019-2020 in Compliance Question 1.
S-610	Changed Compliance Component Question 1 from “Did you non-renew or terminate any contracts during the current school year?” to “Did you not renew or terminate a contract with any of your schools during the current school year?” Changed Compliance Component Question 2 from “If yes, did you give notice by Jan. 15, 2019, AND did the notice specify the reasons for the proposed action in detail as well as providing the effective date of the termination or non-renewal and a statement that the school may request an informal hearing before the sponsor within 14 days of receiving the notice AND if the school requested a hearing, did

	you provide an informal hearing within 14 days of receipt of the request for a hearing AND if an informal hearing was held, did you issue a written decision within 14 days of the informal hearing OR If not, did the school waive the deadline?" to "If yes, for each such school, did you give notice to the school by Jan. 15, 2020, AND did the notice specify the reasons for the proposed action in detail as well as providing the effective date of the termination or non-renewal and a statement that the school may request an informal hearing before the sponsor within 14 days of receiving the notice AND if the school requested a hearing, did you provide an informal hearing within 14 days of receipt of the request for a hearing AND if an informal hearing was held, did you issue a written decision within 14 days of the informal hearing OR If not, did the school waive the deadline?"
S-613	Updated school year to reflect 2019-2020 in Compliance Question 1.

Below are changes that have been made to the **Compliance Worksheet – Oversight of Schools** since its use for the 2018-2019 Sponsor Evaluation.

Item Number	2019-2020 Notes/Changes
104	Changed Compliance Component Question 2 from “If yes, has the school adopted a resolution regarding college and career readiness and financial readiness and submitted it to the Department?” to “If yes, has the school adopted a resolution regarding college and career readiness and financial literacy and submitted it to the Department?”
107	Removed this compliance item. Combined requirement with Item #113.
108	Updated Required Documentation from “None - Evaluators will use the contract submitted to the Department” to “None.”
109	Updated school year to reflect 2019-2020 in Question 1. Changed “July 1, 2018” to “July 1, 2019” in Question 2.
110	Updated OAC/ORC Description. Changed Compliance Component Question 1 from “Does the school have a plan to distribute "blizzard bags" to students as an equivalent to materials or assignments posted online?” to “Is the school a site-based school AND did the school's governing authority adopt a plan that requires students to access and complete classroom lessons posted on the school's web portal or website to make up hours if the school closes for purposes identified in ORC 3313.482?” Changed Compliance Component 2 from “If yes, did the school follow that plan?” to “If yes, did the school follow that plan, if applicable?” Changed Required Documentation from “None” to “Copy of school's plan and explanation of how plan was used, if applicable.”
113	Updated OAC Section. Changed Compliance Component Question 2 from “If yes, does the school comply with the requirements and timelines associated with the Ohio Improvement Plan created through the Department's NCLB waiver (or its successor)?” to “If yes, did the school comply with the requirements and timelines associated with the Ohio Improvement Plan created through the Department's ESEA waiver including requirements related to interventions?”
115	Updated OAC/ORC Description. Changed Compliance Component Question 2 from “If yes, does the school ensure compliance with all requirements of law relating to student/teacher ratios, student/teacher interaction and internet safety protocols?” to “If yes, does the school ensure compliance with all requirements of ORC 3314.21 relating to student/teacher ratios, student/teacher interaction and internet safety protocols?” Changed Required Documentation from “None” to “A plan for fulfilling the intent of the general assembly regarding student/teacher interaction.”
121	Added this compliance item based on SB 216 requirements. This item does not apply for 2019-2020 evaluation review cycle, however it will be required for the 2020-2021 evaluation review cycle.
126	Changed Required Documentation to include “Evidence of providing information regarding the College Credit Plus Program to students.”
128	Added “If yes,” to the beginning of Compliance Component Question 2.

Item Number	2019-2020 Notes/Changes
139	Updated the OAC/ORC Description. Changed Compliance Component Question 1 from "Is the school an early college high school that does not offer College Credit Plus?" to "Does the school offer any of the grades 9-12 AND did NOT offer College Credit Plus?"
146	Updated 3314 reference, OAC/ORC Section Title, and Applies To columns. Changed Compliance Component 1 from "Does the school offer preschool?" to "Does the school offer a school child program?" Changed Compliance Component Question 2 from "If yes, is the preschool in compliance with school child programs described in OAC 3301-32?" to "If yes, is the school child program in compliance with OAC 3301-32?"
147	Updated ORC Section and OAC/ORC Description to include ORC 3323.022.
148	Changed Compliance Component Question 1 from "Does the school offer preschool AND did the Department identify issues as out of compliance during the annual inspections?" to "Does the school offer preschool or a school child program AND did the Department identify issues as out of compliance during the annual inspections?"
158	Updated the ORC Section and ORC 3314 reference columns. Changed Compliance Question 1 from "Does the school offer an adult diploma?" to "Does the school offer a diploma of adult education as defined in ORC 3313.611(B)?"
160	Updated the OAC/ORC Effective Date.
161	Updated the OAC/ORC Description. Changed Compliance Component Question 2 from "If yes, does the school comply with Ohio law and offer the pathways for graduation as stated in ORC?" to "If yes, does the school comply with Ohio law and offer the college and work readiness assessment as stated in ORC 3301.0712?"
166	Added this compliance item based on temporary law in HB 491.
172	Changed Compliance Component Question 1 from "Does the school have written policies regarding IEP development and interventions?" to "Does the school have written policies and procedures regarding IEP development and interventions?" Added school procedures to the Required Documentation.
173	Updated the OAC/ORC Description. Changed Compliance Component Question 1 from "Does the school follow the State Board of Education standards and procedures for identifying and evaluating students who may have a disability?" to "Does the school have written policies and procedures for identifying and evaluating students who may have a disability?" Added copy of applicable policies and procedures to the Required Documentation.
174	Changed Compliance Component Question 1 from "Does the school have a confidentiality policy with regards to special education students?" to "Does the school have written policies and procedures to ensure confidentiality with regards to information about special education students?" Added school procedures to the Required Documentation.
175	Changed Compliance Component Question 1 from "Does the school have a written policy, as required by OAC 3301-51-03, regarding the "child find" program to identify special education children?" to "Does the school have written policies and procedures, as required by OAC 3301-51-03, regarding the child find program to identify special education children?" Added school procedures to the Required Documentation.

Item Number	2019-2020 Notes/Changes
176	Changed Compliance Component Question 1 from “Does the school have a written policy, as required by OAC 3301-51-02, to provide free and appropriate education to special needs children?” to “Does the school have written policies and procedures, as required by OAC 3301-51-02, to provide free and appropriate education to special needs children?” Added school procedures to the Required Documentation.
177	Changed Compliance Component Question 1 from “Does the school have a written policy as required by OAC 3301-51-09, to ensure that students with disabilities are being educated in the least restrictive environment?” to “Does the school have written policies and procedures as required by OAC 3301-51-09, to ensure that students with disabilities are being educated in the least restrictive environment?” Added school procedures to the Required Documentation.
178	Updated OAC/ORC Description. Changed Compliance Component Question 1 from “Does the school have a written policy, as required by OAC 3301-51-01, to ensure compliance with IDEIA?” to “Does the school have written policies and procedures, as required by OAC 3301-51-01, to ensure compliance with IDEA?” Added school procedures to the Required Documentation.
183	Moved Compliance Component Question 1 to Compliance Component Question 2. Added new Compliance Component Question 1.
184	Changed Compliance Component Question 1 from “Does the school serve any students with visual impairments?” to “Does the school serve any students with visual impairments identified on their IEP?”
185	Changed Compliance Component Question 1 from “Is an entity other than the school responsible for students' IEPs?” to “Is an entity other than the school responsible for students' IEPs AND has that entity failed to provide transition services?” Changed Compliance Question 2 from “If yes, does the school take steps regarding transition objectives?” to “If yes, did the school take steps as defined in ORC 3323.014 regarding transition objectives?”
187	Updated the OAC/ORC Description. Changed Compliance Component Question 2 from “If yes, does the school comply with its contract with the sponsor with regard to the target ratio of autistic students to non-disabled students?” to “If yes, does the school comply with its contract with the sponsor with regard to its maximum capacity restrictions for autistic students and non-disabled students AND if the school reached its maximum capacity of autistic students, did the school follow the applicable admission requirements outlined in ORC 3314.061?”
197	Updated the OAC/ORC Description to include the correct ORC section. Added “Yes/No” to Compliance Component Question 1.
198	Updated the ORC Section, OAC/ORC Section Title, OAC/ORC Effective Date, and the ORC/OAC Description. Changed Compliance Component Question 1 from “Does the school offer the adult high school diploma program?” to “Does the school offer a dropout prevention and recovery program AND is the program designed to allow enrollees to earn a high school diploma?”
202	Updated Applies To section and Compliance Component Question 1 to include STEAM equivalent.
203	Updated Applies To section, OAC/ORC Description, and Compliance Component Question 1 to include STEAM equivalent. Removed “Not found” from the ORC 3314 reference column.

Item Number	2019-2020 Notes/Changes
220	Changed Compliance Component Question 1 from “Does the school administer state diagnostic tests to students in required categories and to all students in the appropriate grade level at least once annually, providing the information to parents and the Department?” to “Does the school administer state diagnostic tests to students in required categories and to all students in the appropriate grade level at least once annually, providing the information to parents and the Department as defined in ORC 3301.0715?”
221	Updated the OAC/ORC Effective Date. Added “(in the applicable grades)” to Compliance Component Question 2.
306	Updated ORC Section and OAC/ORC Description.
307	Added school procedures to the Required Documentation.
309	Moved Compliance Component Question 1 to Compliance Component Question 2. Added new Compliance Component Question 1.
311	Changed Compliance Component Question 1 from “Did the school have any students receiving the Autism Scholarship AND did the Department request SSID information for any participating student?” to “Did the school have any students apply for the Autism Scholarship AND did the Department request SSID information for any student applicants?” Changed Compliance Component Question 2 from “If yes, did the school provide the SSID information for students receiving the Autism Scholarship when requested?” to “If yes, did the school provide the SSID information for students applying for the Autism Scholarship when requested?”
401	Added Compliance Component Question 2 to this item.
404	Removed Required Documentation.
405	Changed Compliance Component Question 1 from “Did the school suspend, expel or remove students” to “Did the school suspend, expel or remove one or more students during the school year?” Removed Required Documentation for this item. These updated changes are based on HB 318 requirements.
406	Updated the ORC Section, OAC/ORC Effective Date, and OAC/ORC Description. Changed Compliance Component Question 1 from “Has the school adopted a policy regarding suspension, expulsion, removal and permanent exclusion of students fulfilling the requirements in ORC 3313.661” to “Has the school adopted a policy regarding suspension, expulsion, removal and permanent exclusion of students fulfilling the requirements in ORC?” These updated changes are based on HB 318 requirements.
408	Added this compliance item based on HB 66 requirements.
409	Added this compliance item based on temporary law in HB 318.
410	Added this compliance item based on HB 410 requirements.
411	Added this compliance item based on HB 410 requirements.
412	Added this compliance item based on HB 410 requirements.

Item Number	2019-2020 Notes/Changes
413	Added this compliance item based on HB 410 requirements.
414	Added this compliance item based on HB 491 requirements.
415	Added this compliance item based on HB 491 requirements.
416	Added this compliance item based on HB 410 requirements.
417	Added this compliance item based on SB 216 requirements.
429	Updated the OAC/ORC Description.
432	Updated the OAC/ORC Description. Changed Compliance Component Question 1 from “Does the school take steps to achieve racial and ethnic balance in its schools?” to “Does the school's contract include the ways in which the school will achieve racial and ethnic balance reflective of the community it serves?”
439	Added this compliance item based on HB 21 requirements.
440	Added this compliance item based on HB 21 requirements.
441	Added this compliance item based on HB 21 requirements.
442	Added this compliance item based on HB 21 requirements.
443	Added this compliance item based on ORC 3321.01 requirements.
507	Updated the OAC/ORC Description. Changed Compliance Component Question 2 from “If yes, did it post a bond, guarantee or cash deposit with the AOS prior to opening?” to “If yes, before opening, did the school post the bond, guarantee or cash deposit with the auditor of state, to be used in the event the school closes, to pay the auditor of state any monies owed or that become owed by the school for the costs of audits conducted by the auditor of state or a public accountant under Chapter 117. of the Revised Code AND is the bond, guarantee or cash deposit still current?”
510	Removed this item for the 2019-2020 evaluation review cycle.
517	Removed copy of bond from the Required Documentation for this item.
519	Changed Compliance Component Question 1 from “Did the school timely submit a five-year forecast to the Department” to “Did the school timely submit two five-year forecasts to the Department?”
520	Changed Compliance Component Question 1 from “Did the school contract with an entity, to act as a management company or operator, that received more than 20 percent of the annual gross revenues of the school?” to “Did the school contract with an entity, to act as a management company or operator, that received more than 20 percent of the annual gross revenues of the school for the previous school year?” Changed Compliance Component Question 2 from “If yes, did the school receive a detailed financial accounting from the contracted entity” to “If yes, did the school receive a detailed financial accounting for the previous school year from the contracted entity?”

Item Number	2019-2020 Notes/Changes
521	Added this compliance item based on ORC 5705.391 requirements.
522	Added this compliance item based on HB 312 requirements.
523	Added this compliance item based on HB 312 requirements.
524	Added this compliance item based on HB 312 requirements.
525	Added this compliance item based on HB 312 requirements.
526	Added this compliance item based on HB 312 requirements.
527	Added this compliance item based on HB 291 requirements.
603	Changed Compliance Component Question 1 from “Did the school have any employees charged with a criminal action?” to “Did the school have any employees charged with a criminal action as specified in ORC 3314.101?”
609	Removed this item for 2019-2020 due to SB 216.
610	Changed Compliance Component Question 1 from “Do any of the school's teachers hold a four-year provisional license?” to “Do any of the school's teachers hold a resident educator license?”
612	Updated ORC Section to include 3319.074 based on SB 216 requirements, removed OAC sections, updated the ORC Section, and OAC/ORC Effective Date.
627	Changed Compliance Component Question 2 from “If yes, does the school ensure that criminal background checks on all employees?” to “If yes, does the school ensure that criminal background checks are completed for all employees?”
629	Changed Required Documentation from “None - Evaluators will use the contract submitted to the Department” to “None.”
633	Changed Compliance Component Question 1 from “Is the school a conversion school sponsored by a public school district for which an academic distress commission has been established AND has its bargaining unit been voided?” to “Is the school a conversion school sponsored by a public school district for which an academic distress commission has been established or is sponsored by a municipal school district AND has its bargaining unit been voided?”
635	Changed Compliance Component Question 2 from “If yes, is the preschool program director properly licensed?” to “If yes, is the preschool program director or administrator properly licensed?”
639	Updated the OAC/ORC Section Title and the OAC/ORC Effective Date. Changed Compliance Component Question 2 from “If yes, does the school ensure that all employees involved in transporting students meet the requirements of law” to “If yes, does the school ensure that all employees involved in transporting students meet the personnel requirements of law?”
640	Updated the ORC Section, the OAC/ORC Section Title, and the OAC/ORC Effective Date. Changed Compliance Component Question 2 from “If yes, does the school ensure that the school bus drivers meet all physical requirements” to “If yes, does the school ensure that the school bus drivers meet all physical qualifications of law?”

Item Number	2019-2020 Notes/Changes
641	Added this compliance item based on SB 216 requirements.
651	Changed Compliance Component Question 1 from "Did the current sponsor begin sponsoring this school after Feb. 1, 2016?" to Did the school change sponsors AND did the current sponsor begin sponsoring this school after Feb. 1, 2016?" Changed Compliance Component Question 2 from "If yes, did the school follow proper procedures and receive necessary approval from the Department?" to "If yes, did the school follow proper procedures and receive approval from the Department, if necessary?"
654	Corrected the ORC Section citation.
655	Changed Required Documentation from "Screenshot of the website for the appropriate school year" to "Screenshot of the website with URL for the appropriate school year."
657	Changed Required Documentation from "None - Evaluators will use the contract submitted to the Department" to "None."
658	Added a list of governing authority members to the Required Documentation.
668	Changed the OAC/ORC effective date to 9/28/2018 per HB 8 requirements. Added "current" to Compliance Component Question 1.
669	Updated ORC 3314 reference.
712	Changed Compliance Component Question 1 from "Does the school screen for BMI and weight?" to "Did the governing authority of the school elect to screen students for BMI and weight?"
715	Changed Compliance Component Question 2 from "If yes, did the school provide information and training regarding sudden cardiac arrest as required in ORC 3313.5310?" to "If yes, did coaches complete training on sudden cardiac arrest AND did each participating student submit the required designated school official form as specified in ORC 3313.5310?" Changed Required Documentation from "None" to "Evidence of training and copy of designated school official form."
751	Add "If yes," to the beginning of Compliance Component Question 2.
755	Changed Required Documentation from "Governing board minutes detailing the policy review including the specific health and safety policies that were reviewed" to "Governing board minutes detailing the policy review including information about the specific health and safety policies that were reviewed."
757	Updated OAC Section.
761	Added evidence of in-service training to the Required Documentation.
762	Added this compliance item based on HB 502 requirements.
810	Changed Compliance Component Question 1 from "Does the school (not the local district), or do employees of the school or contracted vendors provide transportation for any of its students?" to "Does the school (not the local district), or do employees of the school or contracted vendors provide transportation for any of its students AND does the school have a volunteer bus rider assistance program?"

Item Number	2019-2020 Notes/Changes
901	Updated the OAC/ORC Effective Date and the OAC/ORC Description. Changed Compliance Component Question 1 from “Does the school have a policy regarding positive behavior interventions and the use of restraint and seclusion” to “Does the school have policies and procedures regarding positive behavior interventions and the use of restraint and seclusion that comply with the requirements of law?” Changed Compliance Component Question 2 from “If yes, were there any instances of the use of restraint or seclusion that were NOT reported to the Department” to “If yes, were the policies and procedures accessible on the school’s website AND are parents notified annually of the school’s policies and procedures?” Changed Required Documentation from a “copy of the applicable board-approved school policy and evidence of board approval of the policy” to “copy of the applicable school policy and procedures.” These updated changes are based on HB 318 requirements.
903	Updated OAC/ORC Description.
904	Added this compliance item based on HB 318 requirements.
952	Changed Compliance Component Question 1 from “Is the school a conversion community school?” to “Is the school a conversion community school AND did the school open during the 2019-2020 school year?”
955	Changed Compliance Component Question 1 from “Did the school permanently close and reopen under a new name?” to “Did the school permanently close and reopen in the 2019-2020 school year under a new name?”
956	Changed Compliance Component Question 1 from “Does the school serve grades 9-12 AND is either sponsored by a district or has received district endorsement of its program?” to “Does the school serve grades 9-12 AND is either sponsored by a municipal school district or has received municipal school district endorsement of its program?”