



2019-2020 Community School Sponsor Evaluation: Compliance Component

Center for Performance and Impact · July 2019

This Training Covers:



Sponsor Evaluation: Compliance

2019-2020 changes to “the lists”

Sponsor certification determination

Evidence submission

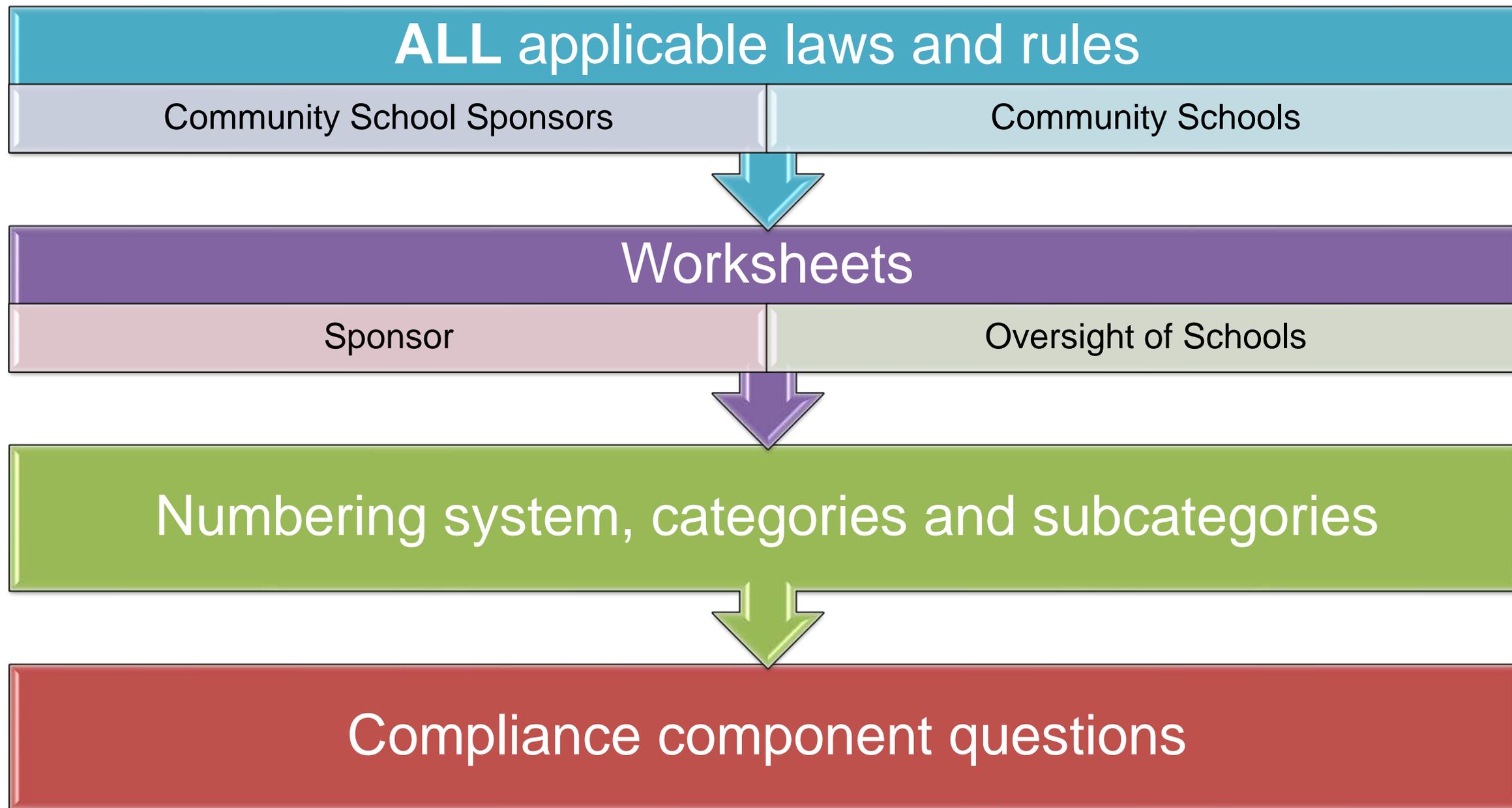
Validation review process

Onsite review

Preliminary results and adjustment review

Compliance scoring and final results publication

Compliance Worksheets - “The Lists”



How many compliance items are on “the lists” for the 2019-2020 review cycle?

	2019-2020		2018-2019	
	Sponsor Items	School Items	Sponsor Items	School Items
Total Compliance Items	23	280	22	257
Require Documentation Submission	11	78	10	69

Notes on Specific Compliance Items for 2019-2020

Sponsor-level Items:

- S-501 – No sponsor response on worksheet. Sponsor Certified Compliant pending timely submission of expenditure report to the Department.
- S-615 – Calculated by Department, based on sponsor's compliance oversight of all schools in their portfolio.

School-level Items:

- Item #121 – Not included in the 2019-2020 review; legislative requirement for 2020-2021 review cycle.
- Items #510 and #609 – Removed from worksheet.
- Item #780 – Not included in the 2019-2020 review; computer software not available.

REMINDER: Specific compliance item changes are identified on the change logs posted on the Department's website.

2019-2020 Compliance Changes – General Overview

New sponsor-level item added



Align dates with review cycle



Several new school-level items



Updates to logic formulas

REMINDER: Specific compliance item changes are identified on the change logs posted on the Department's website.

2019-2020 Sponsor Evaluation Resources

Community Schools | Ohio Department of Education

education.ohio.gov/Topics/Community-Schools

Ohio Department of Education

ADMINISTRATORS TEACHERS PARENTS TOPICS HOW DO I? ABOUT MEDIA BLOG CONTACT

Community Schools

Home > Community Schools

HOW DO I?

- » Find a directory of community schools and sponsors?
- » View annual reports?
- » View Community School Sponsor Ratings?
- » Find information on community school legislation and history?

General Information

Ohio's community schools offer additional choices for families seeking nontraditional, K-12 public educational settings for their children. Tuition-free for Ohio students, these learning institutions are public, nonprofit, nonreligious schools that receive state and federal funds but are independent of traditional school districts.

- » Directory of Schools, Sponsors and Operators
- » Community School Annual Report
- » Community Schools FAQ
- » Charter School Program (CSP) Grant

Contact Information

OFFICE CONTACT INFORMATION
(P) 614-466-7058
Community.Schools@education.ohio.gov
25 S. Front Street, Mail Stop 307
Columbus, Ohio 43215

Parents

Parents in Ohio are empowered with multiple education options that allow them to make informed decisions to best meet their children's individual needs. This supports the idea that one size doesn't fit all and Ohio's children deserve a high-quality education that is tailored to their needs.

- » Family Overview
- » Community School Report Cards
- » FAQs - Families Affected Community School Closures
- » Dropout Recovery Report Cards
- » Ohio School Options

<http://education.ohio.gov/Topics/Community-Schools>

NOTE: Per law, the Department is not permitted to make changes to the 2019-2020 sponsor evaluation system after July 15, 2019.

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Sponsor Compliance Certification Determination

Worksheet columns aid
in certification
determination by the
sponsor

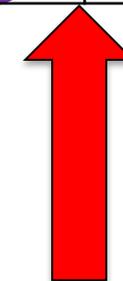
Submit one sponsor
worksheet for sponsor-
level compliance items

Submit one *Oversight
of Schools* worksheet
for ***each*** sponsored
school

Worksheet Columns: Oversight of Schools/Sponsor

Item Number	ORC Section	ORC 3314 reference	OAC Section	OAC/ORC Section Title	Applies To	Category	Sub-Category	OAC/ORC Effective Date	OAC/ORC Description
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Compliance Component Question 1	Answer to Question 1	Compliance Component Question 2	Answer to Question 2	Certification Determination	Required Documentation	Corrective Action Plan	Answer Regarding CAP
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Oversight of Schools spreadsheet
only

Certification Determination Results

Sponsor Certified Compliant

**Sponsor Certified Compliant –
Documentation Required**

Sponsor Certified Not Compliant

Sponsor Certified Not Applicable

Item Certification

Single Component Question Example - #171

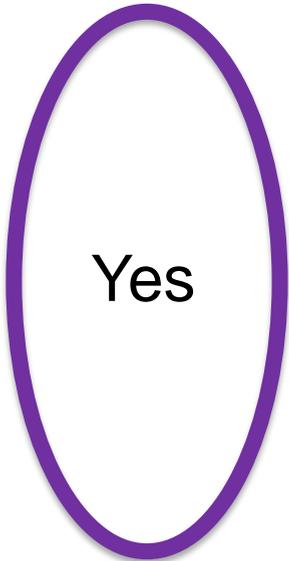
Compliance Component Question 1	Answer to Question 1	Compliance Component Question 2	Answer to Question 2	Certification Determination	Required Documentation	Corrective Action Plan	Answer Regarding CAP
Does the school have written policies and procedures regarding students with disabilities, and ensure that services are provided to the students as required by OAC 3301-51-05? Yes/No					Copy of applicable policies and procedures		

Item Certification

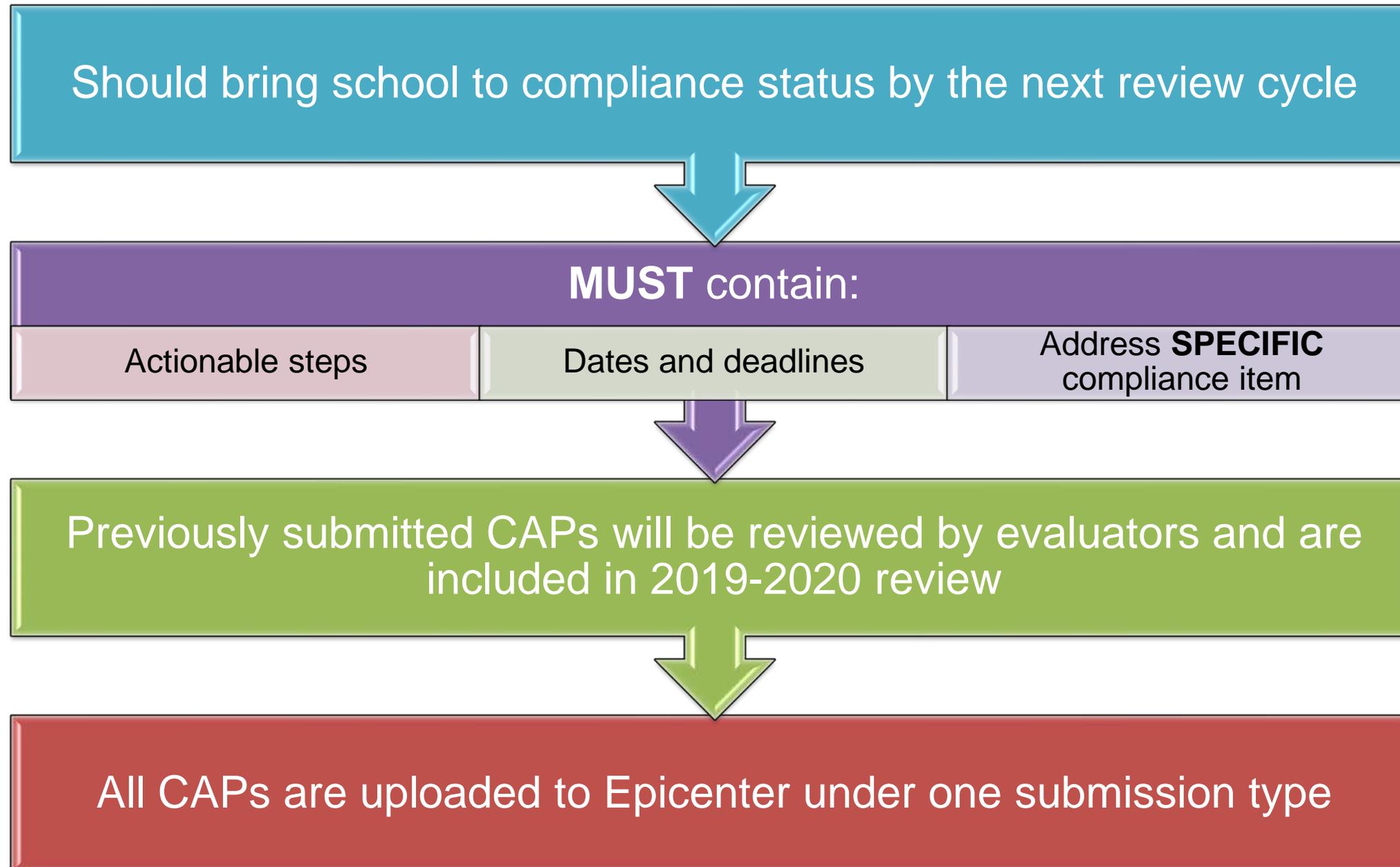
Single Component Question Example - #171

Compliance Component Question 1	Answer to Question 1	Compliance Component Question 2	Answer to Question 2	Certification Determination	Required Documentation	Corrective Action Plan	Answer Regarding CAP
Does the school have written policies and procedures regarding students with disabilities and ensures that services are provided to the students as required by OAC 3301-51-05? Yes/No	No			Sponsor certified not compliant	Copy of applicable policies and procedures	If the Certification Determination is <i>Sponsor Certified Not Compliant</i> , did the sponsor previously identify the non-compliance and place the school on a Corrective Action Plan? <i>CAP Copy Required</i>	

Columns Q and R: Corrective Action Plan

Certification Determination	Corrective Action Plan	Answer Regarding CAP
Sponsor certified not compliant	If the Certification Determination is <i>Sponsor Certified Not Compliant</i> , did the sponsor previously identify the non-compliance and place the school on a Corrective Action Plan? <i>CAP Copy Required</i>	

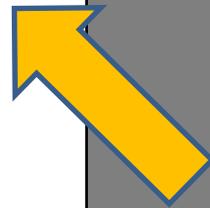
Reminders about Corrective Action Plans



Item Certification

Single Component Question Example - #171

Compliance Component Question 1	Answer to Question 1	Compliance Component Question 2	Answer to Question 2	Certification Determination	Required Documentation	Corrective Action Plan	Answer Regarding CAP
Does the school have written policies and procedures regarding students with disabilities and ensures that services are provided to the students as required by OAC 3301-51-05? Yes/No	Yes				Copy of applicable policies and procedures		



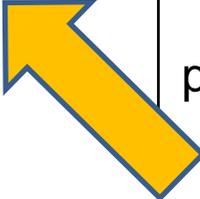
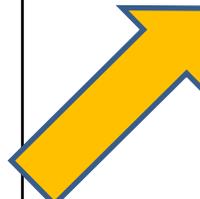
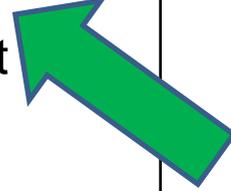
Item Certification

Single Component Question Example - #171

Compliance Component Question 1	Answer to Question 1	Compliance Component Question 2	Answer to Question 2	Certification Determination	Required Documentation
Does the school have written policies and procedures regarding students with disabilities and ensures that services are provided to the students as required by OAC 3301-51-05? Yes/No	Yes			Sponsor Certified Compliant – Documentation Required	Copy of applicable policies and procedures

Item Certification

Double Component Question Example - #102

Compliance Component Question 1	Answer to Question 1	Compliance Component Question 2	Answer to Question 2	Certification Determination	Required Documentation
Is the school a party to an agreement for joint education program(s)? Yes/No	Yes 	If yes, does the school ensure that it does not charge tuition and/or fees to students participating in the joint education program(s)? Yes/No	Yes 	Sponsor Certified Compliant 	None

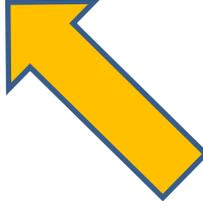
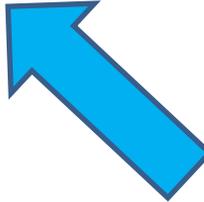
Item Certification

Double Component Question Example - #102

Compliance Component Question 1	Answer to Question 1	Compliance Component Question 2	Answer to Question 2	Certification Determination	Required Documentation	Corrective Action Plan	Answer Regarding CAP
Is the school a party to an agreement for joint education program(s)? Yes/No	Yes	If yes, does the school ensure that it does not charge tuition and/or fees to students participating in the joint education program(s)? Yes/No	No	Sponsor Certified Not Compliant	None	If the Certification Determination is <i>Sponsor Certified Not Compliant</i> , did the sponsor previously identify the non-compliance and place the school on a Corrective Action Plan? <i>CAP Copy Required</i>	

Item Certification

Double Component Question Example - #102

Compliance Component Question 1	Answer to Question 1	Compliance Component Question 2	Answer to Question 2	Certification Determination	Required Documentation
Is the school a party to an agreement for joint education program(s)? Yes/No	No 	If yes, does the school ensure that it does not charge tuition and/or fees to students participating in the joint education program(s)? Yes/No		Sponsor Certified Not Applicable 	None

AND...

Item Certification – AND

Oversight of Schools Item #402

Compliance Component Question 1	Answer to Question 1	Compliance Component Question 2	Answer to Question 2	Certification Determination	Required Documentation	Corrective Action Plan	Answer Regarding CAP
Did the school have any cases of truancy? Yes/No		If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No			None		

Item Certification – AND

Compliance Component Question 2	Answer to Question 2
<p>If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No</p>	

Item Certification – AND

Compliance Component Question 2	Answer to Question 2
<p>If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No</p>	

Item Certification - AND

Compliance Component Question 2	Answer to Question 2
<p>If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No</p>	<p>Yes</p> <p>+</p> <p>Yes</p> <p>=</p> <p>Yes</p>

Item Certification - AND

Compliance Component Question 2	Answer to Question 2
<p>If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records? Yes/No</p>	<p>No</p> <p>+</p> <p>No</p> <p>=</p> <p>No</p>

Item Certification - AND

Compliance Component Question 2	Answer to Question 2
<p>If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records?</p> <p>Yes/No</p>	<p>Yes</p> <p>+</p> <p>No</p> <p>=</p> <p>No</p>

Item Certification - AND

Compliance Component Question 2	Answer to Question 2
<p>If yes, did the attendance officer institute proceedings for violations of compulsory education laws AND keep records?</p> <p>Yes/No</p>	<p>No</p> <p>+</p> <p>Yes</p> <p>=</p> <p>No</p>

OR...

Item Certification – OR

Sponsor-Level Item S-605

Compliance Component Question 1	Answer to Question 1	Compliance Component Question 2	Answer to Question 2	Certification Determination	Required Documentation	Corrective Action Plan	Answer Regarding CAP
Did you open or are you planning to open a new or conversion community school during the current school year? Yes/No		If yes, did you receive a proposal and enter into a preliminary agreement pursuant to ORC 3314.015 OR are you exempt from this provision? Yes/No			Explanation of exemption, if applicable		

Item Certification – OR

Compliance Component Question 2	Answer to Question 2
If yes, did you receive a proposal and enter into a preliminary agreement pursuant to ORC 3314.015 OR are you exempt from this provision? Yes/No	Yes OR Yes = Yes

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Compliance scoring and final results publication

Uploading Evidence to Epicenter

Document submission window

- December 2019 – June 30, 2020

Certification only items

- “None” in the Required Documentation column – no Epicenter submission

Required Documentation items

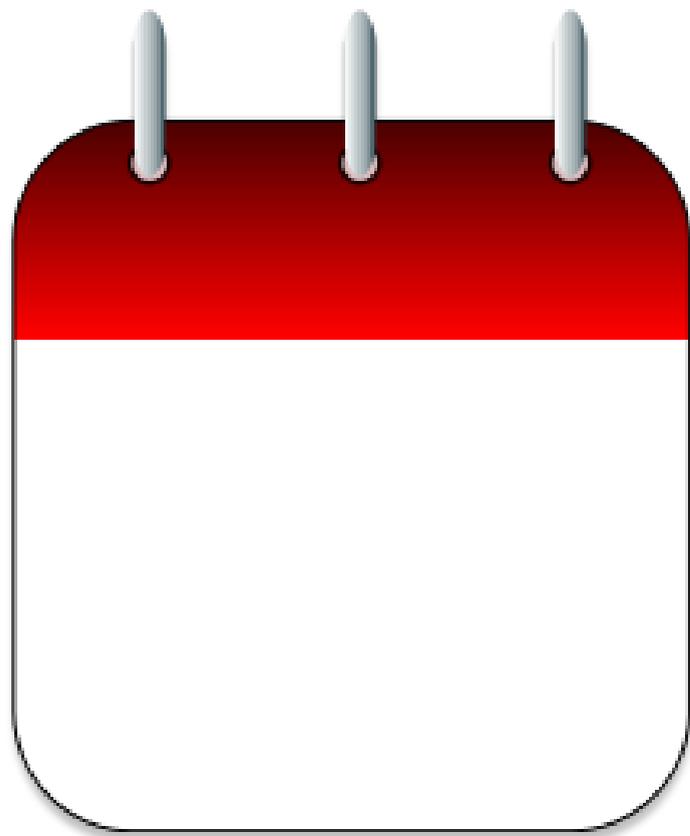
- “Sponsor Certified Compliant – Documentation Required” –submission in Epicenter required
- If not applicable, enter narrative in Epicenter to satisfy the requirement and remove from sponsor’s task queue

Corrective action plan(s)

- One submission type in Epicenter for schools for items certified as Not Compliant
- Enter narrative for a specific item if documentation is required to satisfy requirement and remove from sponsor’s task queue

Confidential personally identifiable information?

- **STOP!!! Do not upload ANY student personally identifiable information!**
- Questions? Contact the Department **PRIOR** to uploading documentation to Epicenter.



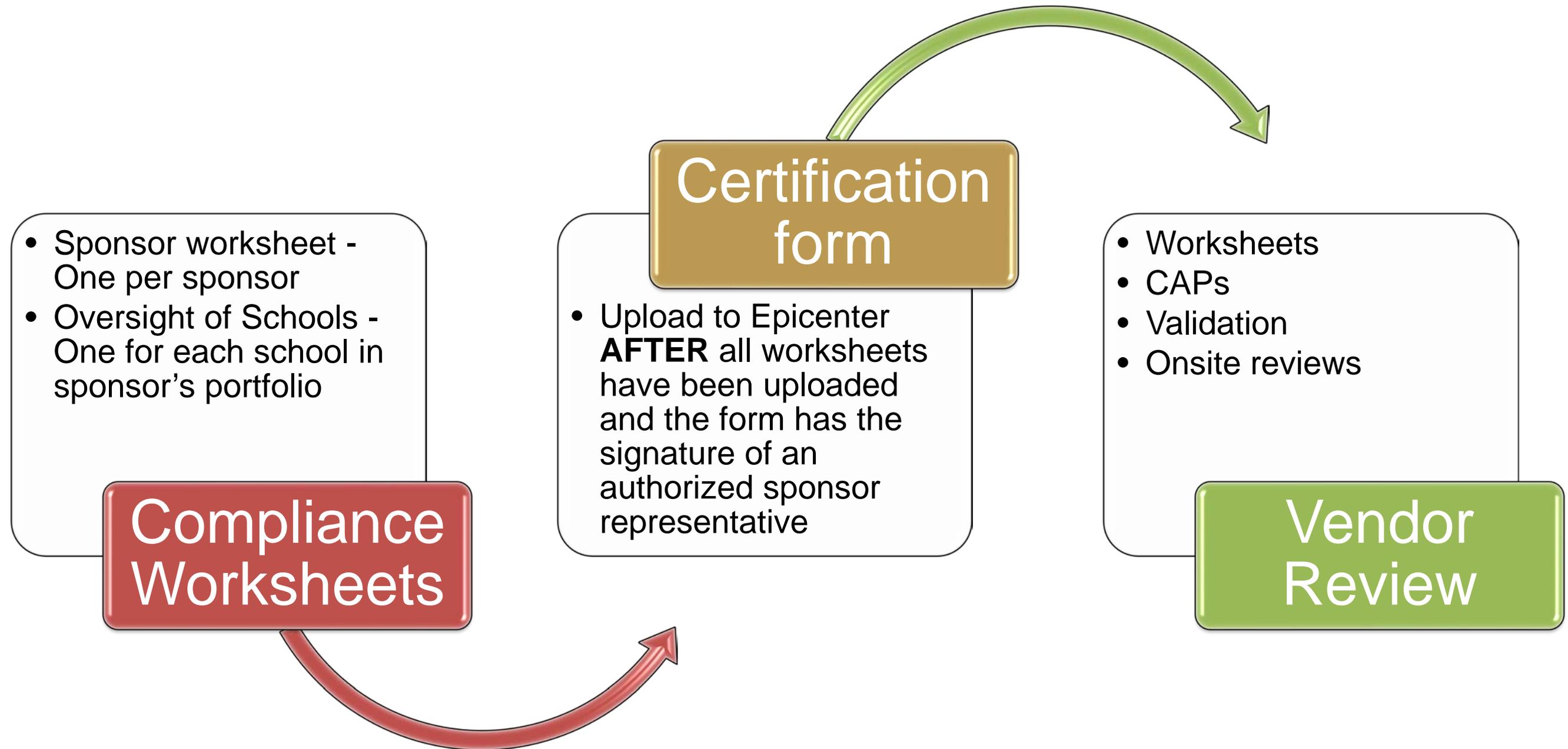
**Compliance Document
Submission Window in Epicenter
for 2019-2020 Sponsor
Evaluation Review Cycle**

December 2019 - June 30, 2020

Reminders: Evidence Submission

- Required submission types are listed in the sponsor's task queue.
- Ensure the correct documentation is uploaded to the appropriate submission type.
- Documents can be replaced (if needed) until the document submission deadline.
- Ensure uploaded files can be opened, are easy to read and in the appropriate format.
- Use **ONLY** the compliance worksheets that are provided by the Department.
- Technical difficulties? Contact the Department and/or the Epicenter Help Desk!

Sponsor Certification



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Compliance Validation and Onsite Reviews

OAC 3301-102-08

Allows Department validation of compliance items

Randomly selected for **BOTH** sponsor-level and school-level compliance items

- Onsite review and/or documentation review
- Sponsors with multiple schools could have different items selected for each sponsored school

Items selected for validation

- Possible pool posted to Department website
- Selected items will be announced after June 30, 2020 (submission deadline)

Onsite reviews

- Random selection of 10 percent of schools in sponsor portfolio
- Each school type included
 - Selected schools will be announced after June 30, 2020 (submission deadline)

Reminders: Onsite Reviews

BOTH the schools and the validation items selected for each school will be published after the submission deadline

- For items where validation will occur onsite: Notification will occur after scheduling, but before visit

Representatives from the sponsoring organization are permitted to attend the onsite review. However **ONLY** the school should respond to the evaluator and provide requested documentation.



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Preliminary Evaluation Results: Adjustment Review

ORC 3314.016(B)

~~Academic~~

Sponsor
Evaluation
Components

Quality Practices

Compliance with all Laws and Rules

Preliminary Compliance Results

Accessible via Epicenter

Two submission types:

- Sponsor file
- Oversight of Schools file

Available files

- Sponsor
- Oversight of Schools

Adjustment Review Period

10 business days

Sponsor Adjustment Request Form

- Submitted in Epicenter
- Contains three tabs:
 - Sponsor Quality
 - Sponsor Compliance
 - School Compliance

Department review and final determination

Compliance Process

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Compliance Scoring

Reviewer Response to Initial Score	Final Reviewer Rating	Justification
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Compliant
Not Compliant
Not Applicable

Compliance Scoring

Compliant

Not
Compliant

Not
Applicable

Sponsor (S-
615)

Percentage of
substantiated
items

Final
compliance
component
score/rating

Vendor Determination + Adjustment Results

Oversight of
schools
(ALL)

Sponsor Item S-615

Weighted (0 to 4 points)

- Percentage of substantiated items
- Incorporates 280 school-level items for **ALL** schools in sponsor portfolio

School-Level Scoring (S-615)

Points	Percentage of Substantiated Items
4	98-100%
3	96-97.9%
2	94-95.9%
1	92-93.9%
0	Less than 92%

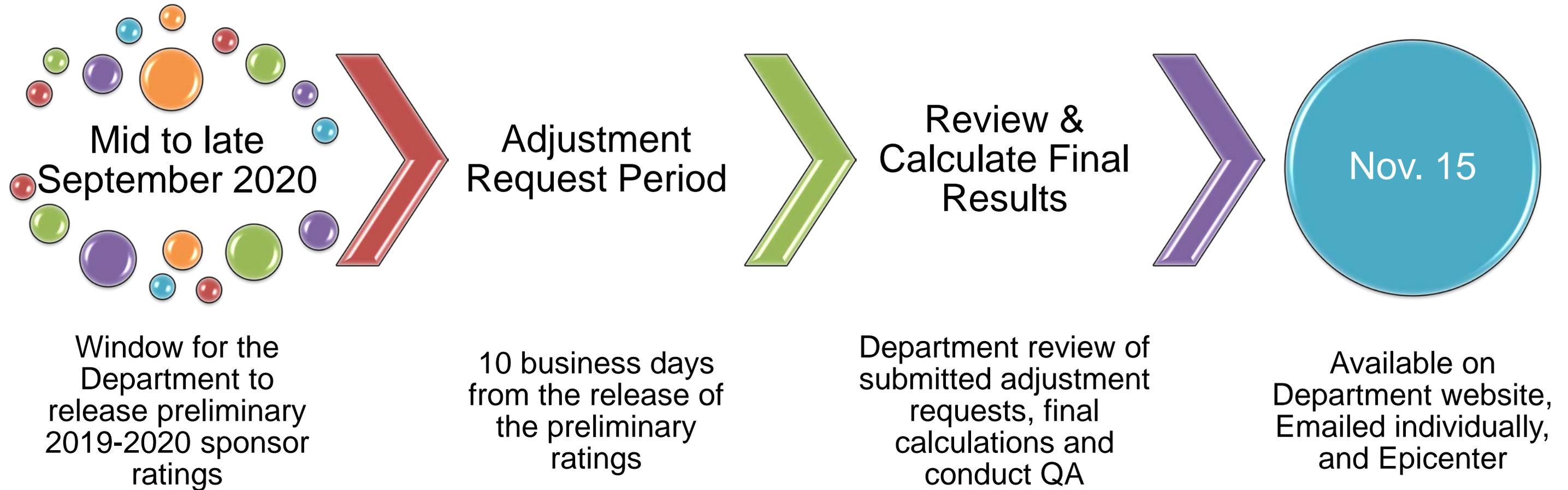
The school-level percentage of substantiated items determine the points a sponsor receives for Item S-615 at the sponsor level.

Overall Compliance Component Rating

Points	Percentage of Compliant Items	2019-2020 Rating
4	92-100%	Exceeds Expectations
3	87-91.9%	Meets Expectations
2	84-86.9%	Progressing Toward Expectations
1	80-83.9%	Below Expectations
0	0-79.9%	Significantly Below Expectations

Score is determined by equally weighing 22 of the 23 sponsor-level items (1 point each), and weighing S-615 (0 to 4 points).

Final Ratings and Publication



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Questions?

Submit questions to the
Sponsor Evaluation inbox:

sponsor.evaluation@education.ohio.gov



Join the Conversation



OHEducation



@OHEducation

@OHEducationSupt



@OHEducation



OhioEdDept



education.ohio.gov/text