

2020-2021 Compliance Worksheet Change Log

Updates from files used for the 2019-2020 Sponsor Evaluation

Below are changes that have been made to the **Compliance Worksheet - Sponsor** since its use for the 2019-2020 Sponsor Evaluation. These changes are also highlighted on the appropriate worksheet.

Item Number	2020-2021 Notes/Changes
S-101	Updated compliance component questions to reflect 2019-2020 school year data.
S-102, S-501, S-503, S-603, S-607 and S-613	Updated compliance component questions to reflect the 2020-2021 school year.
S-602	Updated citation in ORC Section column. Changed Compliance Component Question 1 from “Did you propose to open a community school in an alliance municipal school district AND did the school open during the 2019-2020 school year or plan to open during the 2020-2021 school year?” to “Did you propose to open a community school in an alliance municipal school district AND did the school open during the 2020-2021 school year or plan to open during the 2021-2022 school year?”
S-610	Updated the date in Compliance Component Question 2 to Jan. 15, 2021.
S-611	Updated this item due requirements in House Bill (HB) 166. Changed ORC description. Changed Compliance Component Question 1 from “Did you complete and provide the Department with a copy of opening assurances for all of your schools” to “Did you have any schools that opened for the first time, or a school that began operating from a different building for the first time, in the 2020-2021 school year?”
S-616	Updated this item due to requirements in HB 166. Changed ORC description. Changed Compliance Component Question 1 from “ Did you verify annually that a finding for recovery has not been issued by the auditor of state against any individual or individuals who propose to create a community school or any member of the governing authority, the operator or any employee of each community school?” to “Did you verify annually that a finding for recovery has not been issued by the auditor of state against any individual or individuals who propose to create a community school or any member of the governing authority, the operator, or any employee of the community school with responsibility for fiscal operations or authorization to expend money on behalf of the school?”

Below are changes that have been made to the **Compliance Worksheet - Oversight of Schools** since its use for the 2019-2020 Sponsor Evaluation. These changes are also highlighted on the appropriate worksheet.

Item Number	2020-2021 Notes/Changes
103	Changed the entry for the ORC 3314 Reference from “Not found, but similar provision in ORC 3314.03” to “N/A.”
109	Changed the entry for the ORC 3314 Reference from “Not found, but similar provision in ORC 3314.03(A)(29)” to “N/A.” Updated school year to reflect 2020-2021 in Compliance Component Question 1. Changed “July 1, 2019” to “November 1, 2020” in Compliance Component Question 2, due to HB 164. The November deadline is applicable in the 2020-2021 sponsor evaluation cycle only.
113	Replaced “Plan” with “Process.” Removed “Ohio” from Compliance Component Question 1.
114, 118 and 121	These compliance items will not apply in 2020-2021 due to requirements in HB 164.
115	Replaced “Plan for fulfilling the intent of the general assembly regarding student/teacher interaction” with “Copy of plan for fulfilling the intent of the general assembly regarding student/teacher interaction” in the Required Documentation column.
116	Updated the ORC Section and added “and section 18 of H.B. 164” to the ORC description based on HB 164 requirements.
122	Added this compliance item for the 2020-2021 review cycle due to requirements in HB 166.
123	Added this compliance item for the 2020-2021 review cycle due to requirements in HB 166.
129	Changed Compliance Component Question 1 from “Did the school have one or more students who failed a College Credit Plus course?” to “Did the school have one or more students who did not attain a passing final grade for a College Credit Plus course?” Changed Compliance Component Question 2 from “ If yes, did the school follow requirements in law related to students who fail College Credit Plus courses?” to “If yes, did the school follow requirements in law related to students who do not attain a final passing grade for a College Credit Plus course?”
133	Changed Compliance Component Question 2 from “If yes, does the school ensure that the teachers are properly licensed?” to “If yes, does the school ensure that the teachers have satisfied the credentialing requirements set forth in ORC 3365.11?”
136	Changed Compliance Component 1 from “Did the school have any students in grades 9-12 participating in the College Credit Plus program?” to “Did the school have any students in any of the grades 9 through 12 participating in the College Credit Plus program?”
137	Changed Compliance Component Question 1 from “Does the school serve secondary school grades 9-12?” to “Did the school serve students in any of the secondary school grades 9 through 12?”
139	Changed Compliance Component Question 2 from “If yes, has the school applied for a waiver for non-compliance with requirements of the program?” to “If yes, has the school obtained a waiver from the requirements of the program?”
157	Added “including those in House Bill 164” to Compliance Component Question 2 and updated the ORC section due to requirements in HB 164.

Item Number	2020-2021 Notes/Changes
161	Replaced “assessment” with “assessments” to reflect language in ORC 3301.0712.
166	Added references to ORC 3313.618, ORC Section Title and ORC description. Updated years.
167	Added this compliance item for the 2020-2021 review cycle due to requirements in HB 166. Added, for the 2020-2021 sponsor evaluation cycle only, “and was the policy adopted prior to September 30, 2020” due to requirements in HB 164.
171	Changed ORC description from “The school has written policies and procedures regarding procedural safeguards for students with disabilities approved by OEC and provides services to students with disabilities in a manner consistent with its approved policies” to “The school has written policies and procedures, which are approved by the Department's Office for Exceptional Children, regarding procedural safeguards for students with disabilities, and provides services to students with disabilities in a manner consistent with its approved policies.”
180 and 512	Removed these two items from the worksheet as they are not applicable to community schools.
185	Replaced Question 1, “Is an entity other than the school responsible for students' IEPs AND has that entity failed to provide transition services?” with “Is an entity other than the school listed as providing transition services on students' IEPs AND has that entity failed to provide transition services?”
222, 970, 971 and 972	Added these compliance items due to requirements in HB 164.
406	Changed Compliance Component Question 1 from “Has the school adopted a policy regarding suspension, expulsion, removal and permanent exclusion of students fulfilling the requirements in ORC?” to “Has the school adopted a policy regarding suspension, expulsion, removal and permanent exclusion of students that specifies the type of misconduct for which a student may be suspended, expelled, or removed?”
408	Changed Compliance Component Question 1 from “Is the school an internet or computer-based school?” to “Is the school a site-based school?” Changed the logic formula in the answer cell.
416	Updated school years.
440 442	Changed Required Documentation from “Copy of the board-approved school policy and evidence of board approval” to “Copy of the applicable board-approved school policy and evidence of board approval of the policy.”
506	Changed Compliance Component Question 1 from “Did the school file its annual financial report with the Auditor of State?” to “Did the school open for the first time in the 2020-2021 school year?” Added Compliance Component Question 2.
518	Removed the required documentation for this item.
528	Added this compliance item for the 2020-2021 review cycle due to requirements in HB 166.
529	Added this compliance item for the 2020-2021 review cycle due to requirements in HB 166.
607	Updated the OAC/ORC Description. Changed Compliance Component Question 1 from “Does the school employ any special education teachers?” to “Does the school employ, as necessary, the personnel to meet the needs of the children with disabilities it has enrolled?” Changed Question 2 from “If yes, are the school's special education teachers properly licensed?” to “If yes, do the school's special education personnel have the appropriate qualifications and certificates or licenses as required by law?”
612	Removed this compliance item due to HB 166.
703	Added, in the Required Documentation column, “personally” to “student or parent identifiable information.”

Item Number	2020-2021 Notes/Changes
761	Removed references to ORC 3319.073 from this item. Removed the in-service training from the required documentation.
762	Added the ORC 3319.073 description to this item.
763	Added this compliance item for the 2020-2021 review cycle due to requirements in HB 166.
782	Changed ORC 3314.18 description from “The school provides breakfast and/or lunch during the summer if at least one-fifth of the pupils are eligible under federal guidelines” to “The school provides breakfast and/or lunch during the summer if it offers summer intervention services.” Changed Compliance Component Question 1 from “Does the school serve or sell food on school premises AND does the school offer a summer education program AND are at least one-fifth of the school's students eligible for free lunches?” to “Does the school serve or sell food on school premises AND does the school offer summer intervention services? “
783	Added this compliance item for the 2020-2021 review cycle due to requirements in HB 166.
805	Removed “Qualifications of drivers” from the OAC/ORC Section Title column.
806	Changed Compliance Question 2 from “If yes, does the school have a policy regarding school bus usage?” to “If yes, does the school have a policy regarding school bus usage per OAC 3301-83-20?”
809	Changed Compliance Question 2 from “If yes, does the school ensure that it timely files the annual report of pupil transportation?” to “If yes, did the school timely file the annual report of pupil transportation?”
810	Updated OAC Section reference.
952 and 955	Updated school year to reflect 2020-2021 in Compliance Component Question 1.