

## **Data Submission Requirements – Corrective Action Plan Determination Worksheet**

To be completed after the data collection cycle has closed.

#### **General Information**

1. Reporting Entity: (OEDS-DIST-ENT-NAME)

2. Type of Data: **EMIS** 

3. Data Reporting Period: Yearend

4. Number of Measures Missed: (Grand Total)

5. Data Reporting Issues: (Issue1) (Issue2) (Issue3) (Issue4) (Issue5) (Issue6) (Issue7) (Issue7) (Issue9) (Issue10) (Issue11) (Issue12)

### **Scoring Criteria**

### Historical Perspective (Items 1-5): 0-19 points Scoring Rules

Outcome	<b>Equivalent Score</b>
Yes/NA	0
No / Low	1
Med	2
High	3

#	Determination	Outcome	Score
1	Is this the first time the reporting entity has had a Corrective Action Plan – Acknowledgement request? Yes No		
1a	If No, how many? NA(0) Low(1-2) Med(3-4) High(5 or more)		
2	Is this the first time the reporting entity has had Corrective Action Plan – Full request?  Yes No		
2a	If No, how many? NA(0) Low(1-2) Med(3-4) High (5 or more)		
2b	If a reporting entity has received prior Corrective Action Plan – Full requests, additional points are earned. NA (0) Low ( $<$ 2) Med (2 $-$ 3) High (4 or more)		
3	Is this the first time the reporting entity has been identified for the same measure(s)?  Yes No		
3a	If No, how many previous times? NA(0) Low( $1-2$ ) Med( $3-4$ ) High( $>5$ )		
3b	Was the previous time more than a year? Yes No		
4	The reporting entity has had no permanent financial withholdings related to data reporting.  Yes No	Y	0
4a	If No, how many? $NA(0)$ Low(1) $Med(2-3)$ High (4 or more)	Y	0
5	Has the reporting entity made progress in improving their data submissions relative to the number of measures missed? Yes No		
	Total Historical Score:		
	Total Historical Score Impact: Low ( $0-7$ ) Med ( $8-13$ ) High ( $14-19$ )		

July 30, 2009



# Data Submission Status (Items 5 - 13): 1-17 points Scoring Rules

Outcome	<b>Equivalent Score</b>	
Yes	0	
No / Low	1	
Med	2	
High	3	

#	Determination	Outcome	Score
5	What is the percentage of data submission requirements missed by this reporting entity as of the last week of the reporting period? Low ( $\leq 33\%$ ) Med ( $\geq 33\%$ but $\leq 66\%$ ) High ( $\geq 66\%$ - $100\%$ )		
6	For the data submission measures missed, on average, is the last week of the reporting period the first time the reporting entity appeared on the GEN_Missing_Data report?  Yes (0) No (>0)		
7	On average, how many times did the reporting entity miss the data submission requirements? Low $(\le 2)$ Med $(> 2$ but $\le 6)$ High $(> 6)$		
8	Of those measures still missing at the end of the data submission requirements period, what was the average percent of weekly missed measures? Low ( $\leq 33\%$ ) Med ( $>33\%$ but $\leq 66\%$ ) High ( $\geq 67\%$ - $100\%$ )		
9	On average, is the reporting entity 2% or below for each of the data submission requirements not met? No $(>0)$ Yes $(0)$		
10	On average, is the reporting entity missing less than 5 records and is less than 5% from the data submission goal? No $(>0)$ Yes $(0)$		
11	On average, the number of measures the reporting entity has met the Out of Compliance Notification criteria for this reporting period? Low $(\le 2)$ Med $(> 2$ but $\le 6)$ High $(> 6)$		
12	On average, is the reporting entity only missing one individual record for each of the appropriate measures missed? No $(>0)$ Yes $(0)$		
13	Prior to the last week of the reporting period, on average, does the reporting entity still have any financial withholdings against them related to the data submission requirements for this reporting period? No $(>0)$ Yes $(0)$		
	Data Submission Status Score: (TOTAL POINTS)		
	Data Submission Status Impact: Low (1-5) Med (6-11) High (12-17)		

July 30, 2009



### **Reporting Entity Data Submission Score Summary**

```
(Reporting Entity) (IRN)

Historical Score: ( )

Historical Score Impact: Low (0-5) Med (6-11) High (12-17)

Data Collection Status Score: ( )

Data Collection Status Impact: Low (1-5) Med (6-11) High (12-17)
```

### **Corrective Action Plan Requirement**

```
\label{eq:low-Med} \begin{split} & Low-Med \ Combination = Acknowledgement \ Request \\ & All \ Med = Full \ Request \\ & Any \ High = Full \ Request \end{split}
```

### **Financial Sanction Requirement**

Low – Med Combination = No additional financial sanction incurred. Upon successful submission of Corrective Action Plan any withholdings pending related to this issue will be released.

All Med = Contingent upon acceptance of Corrective Action Plan

Any High = Contingent upon acceptance of Corrective Action Plan.

July 30, 2009