

### **Selecting Evidence-Based Practices**

**Ohio Department of Education Literacy Academy** 

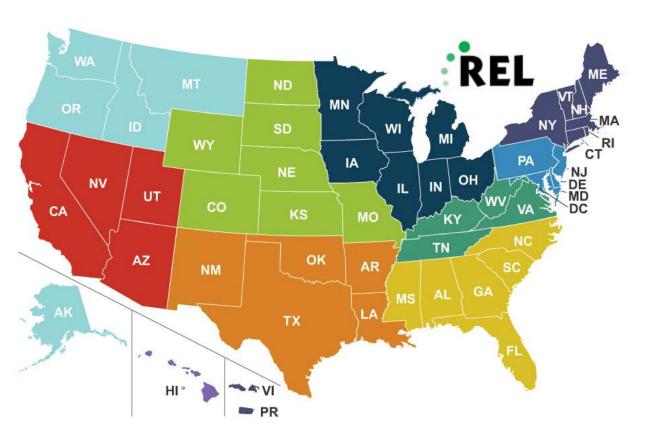
Lyzz Davis, PhD January 17, 2018



### Agenda

- 1. Every Student Succeeds Act (ESSA) evidence tiers
- 2. Existing resources for identifying interventions
- 3. Aligning standards
- 4. Questions

### Regional Educational Laboratories





<sup>\*</sup> The Pacific Region contains
Hawaii, pictured on the map,
and American Samoa, the
Commonwealth of the Northern
Mariana Islands, the Federated
States of Micronesia (Chuuk,
Kosrae, Pohnpei, & Yap), Guam,
the Republic of the Marshall
Islands, & the Republic of Palau,
not pictured on the map.

# ESSA Tiers of Evidence

#### Four tiers of evidence under ESSA

Tier 1: Strong Evidence

Tier 2: Moderate Evidence

Tier 3: Promising Evidence

Tier 4: Demonstrates a Rationale

### "Well-executed" experimental study:

- Uncompromised random assignment:
  - Equal chances of being in treatment or control
  - No adding, switching, or dropping
- Low attrition:
  - How many people left the study after randomization and before the analysis?

### "Well-executed" experimental study:

- Uncompromised random assignment:
  - Equal chances of being in treatment or control
  - No adding, switching, or dropping
- Low attrition:
  - How many people left the study after randomization and before the analysis?

### Statistically significant favorable effect on a relevant outcome:

Studies often examine impact on multiple outcomes

- No overriding negative effects from experimental or quasi-experimental studies:
  - Look to resources like What Works Clearinghouse (WWC)
- Large, multisite sample
- Population and setting in the study overlap with your population and setting

- No overriding negative effects from experimental or quasi-experimental studies:
  - Look to resources like What Works Clearinghouse
- Large, multisite sample
- Population and setting in the study overlap with your population and setting

- No overriding negative effects from experimental or quasi-experimental studies:
  - Look to resources like What Works Clearinghouse
- Large, multisite sample
- Population and setting in the study overlap with your population and setting

### **Tier 2: Moderate Evidence**

Well-executed quasi-experimental design:

- Some type of matching: Can be through statistical method like propensity scores or not
- Baseline equivalence: Treatment and control have similar attributes or scores before the intervention

#### **Tier 2: Moderate Evidence**

- Statistically significant favorable effect on a relevant outcome
- No overriding negative effects from experimental or quasi-experimental studies:
  - Look to WWC
- Large, multisite sample
- Population and setting in the study overlap with your population and setting

### A quick note about ESSA Tiers 1 and 2



Deciding whether a study is "well designed and well implemented" for Tiers 1 and 2 requires a review against WWC standards.

### **Tier 3: Promising Evidence**

Well-designed, well-implemented correlational study:

- Uses methods to account for differences between treatment and control groups:
  - Statistical controls for selection bias

### **Tier 3: Promising Evidence**

- Statistically significant favorable effect on a relevant outcome
- No overriding negative effects from experimental or quasi-experimental studies:
  - Look to WWC

### **Tier 4: Demonstrates a Rationale**

- Well-specified logic model:
  - How is the intervention likely to improve outcomes?
  - Based on previous research
- An effort to study the effects is currently or will be underway

Strong Evidence	Moderate Evidence	Promising Evidence
Well-designed and implemented experimental study	Well-designed and implemented QED or RCT with high attrition	Well-designed and implemented correlational study or
Significant positive effect on relevant outcome	Significant positive effect on relevant outcome	well-designed and implemented RCT or QED without a
No overriding negative effects from causal studies	No overriding negative effects from causal studies	Statistical controls for selection bias
Large, multisite sample Overlaps with population of interest	Large, multisite sample Overlaps with population of interest	Significant positive effect on relevant outcome
		No overriding negative effects from causal studies

QED is quasi-experimental design, RCT is randomized controlled trial.

### Existing resources

## Evidence-based clearinghouses focusing on literacy

- What Works Clearinghouse
- Top Tier Evidence
- Blueprints Programs (more on this tomorrow)

## To apply ESSA standards, we need to know:

- 1. Types of studies reviewed
- 2. Criteria that factor into ratings
- 3. How conflicting outcomes are handled
- 4. What contextual information is provided



What Works Clearinghouse

### WWC: Types of studies reviewed

### Experimental designs:

- Randomized controlled trials (RCT)
- Regression discontinuity designs (RDD)\*
- Single case designs (SCD)\*

Quasi-experimental designs (QED):

Matched groups

<sup>\*</sup>Criteria are slightly different for these designs.

### **WWC:** Criteria factored into ratings

### Study design:

- How were treatment and control groups formed?
- Free of confounding factors?
- Examine an eligible outcome?

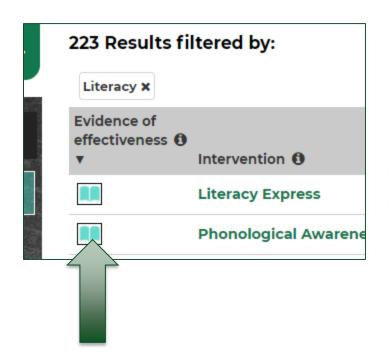
### Sample attrition:

 How many participants left or dropped out during the study?

### **Baseline equivalence:**

 Were the groups equal on key characteristics before the intervention?

Evidence of effectiveness icons (by intervention)



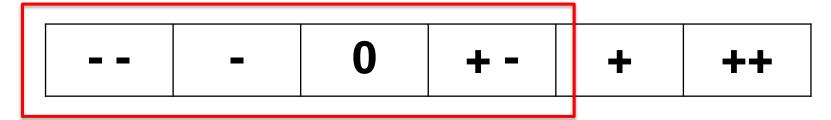


- Mixed/no discernable evidence
- ? No evidence

Six effectiveness ratings (by outcome):

	+
--	---

Six effectiveness ratings (by outcome):



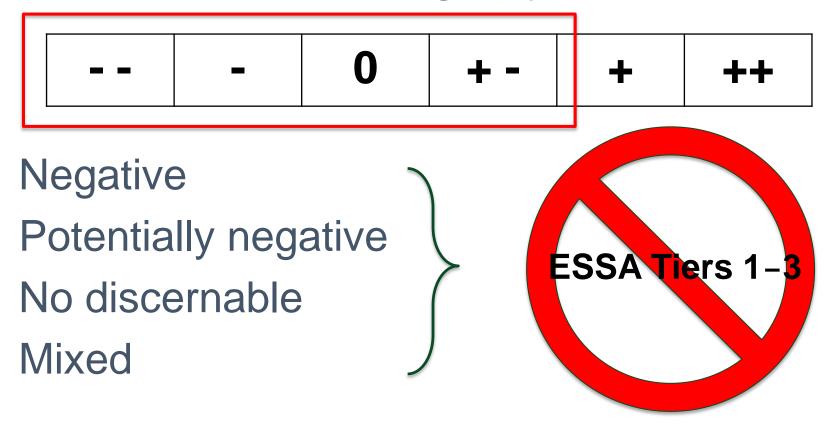
Negative

Potentially negative

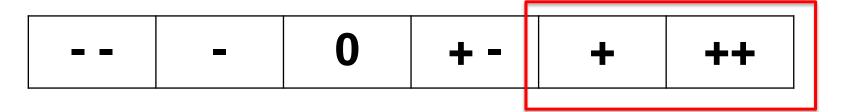
No discernable

Mixed

Six effectiveness ratings (by outcome):

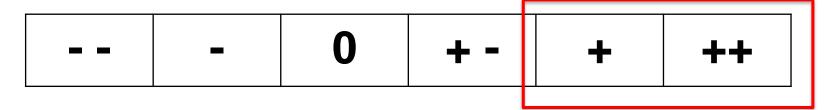


Six effectiveness ratings (by outcome):



Potentially positive Positive

Six effectiveness ratings (by outcome):





Potentially positive Positive

### WWC: Contextual information provided

Summary of evidence page for each outcome:

- Effectiveness rating
- Number of studies meeting standards
- Grades examined
- Number of students
- Improvement index

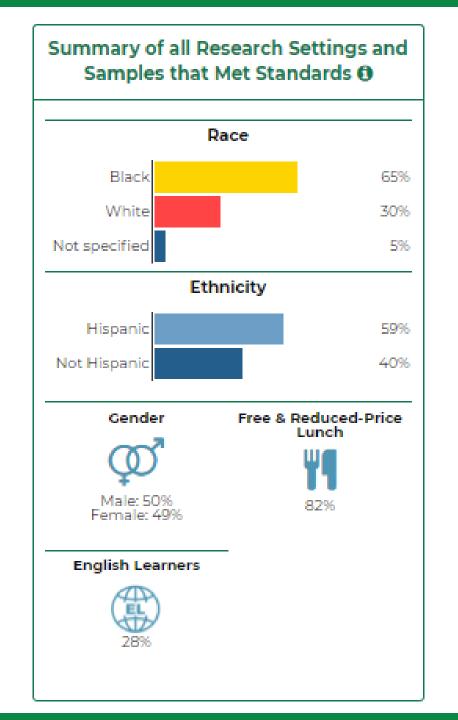


)	Grades examined <b>①</b>	Students 🕄	Impro index
	PK	722	
	PK	185	
	PK	1,002	-50
	PK	1,004	-50
	PK	999	-50

## WWC: Contextual information provided

### Evidence snapshots:

- Summary of all research settings and samples from studies meeting standards can include:
  - Race/ethnicity
  - Gender
  - English learners
  - Free or reduced-price lunch
  - Delivery method
  - Locale



Intervention reports go into a lot more detail:

- Program information, including implementation and cost
- All studies reviewed and summary of their findings
- Sample characteristics

What Works Clearinghouse™





**Adolescent Literacy** 

**Updated November 2016** 

p. 1

p. 41

p. 54

#### **READ 180®**

#### Program Description<sup>1</sup>

READ 180<sup>®</sup> is a reading program designed for struggling readers who are reading 2 or more years below grade level. It provides blended learning instruction (i.e., combining digital media with traditional classroom instruction), student assessment, and teacher professional development. READ 180® is delivered in 45- to 90-minute sessions that include whole-group instruction, three small-group rotations, and whole-class wrap-up. Small-group rotations include individualized instruction using an adaptive computer application, small-group instruction with a teacher, and independent reading. READ 180<sup>®</sup> is designed for students in elementary through high school. This review of READ 180® focuses on students in grades 4-12.

#### Research<sup>2</sup>

The What Works Clearinghouse (WWC) identified nine studies of READ 180<sup>®</sup> that both fall within the scope of the Adolescent Literacy topic area and meet WWC group design standards. Three studies meet WWC group design standards without reservations, and six studies meet WWC group design standards with reservations. Together, these studies included 8,755 adolescent readers in more than 66 schools in 15 school districts and 10 states.

The WWC considers the extent of evidence for READ 180® on the reading achievement of adolescent readers to be medium to large for four outcomes-comprehension, general literacy achievement, read-

This intervention report presents findings from a systematic review of READ 180<sup>®</sup> conducted using the WWC Procedures and Standards Handbook, version 3.0, and the Adolescent Literacy review protocol, version 3.0.

ing fluency, and alphabetics. (See the Effectiveness Summary on p. 7 for more details of effectiveness by domain.)

#### Effectiveness

READ 180<sup>®</sup> was found to have positive effects on comprehension and general literacy achievement, potentially positive effects on reading fluency, and no discernible effects on alphabetics for adolescent readers.

#### Table 1. Summary of findings<sup>3</sup>

		Improvement index (percentile points)				
Outcome domain	Rating of effectiveness	Average	Range	Number of studies	Number of students	Extent of evidence
Comprehension	Positive effects	+6	-4 to +16	6	3,882	Medium to large
General literacy achievement	Positive effects	+4	0 to +7	6	6,235	Medium to large
Reading fluency	Potentially positive effects	+4	+4 to +4	2	561	Medium to large
Alphabetics	No discernible effects	0	-1 to +2	2	746	Medium to large

U.S. DEPARTMENT OF EDUCATION

Overview

Program Information

Report Contents

Research Summary p. 4 Effectiveness Summary p. 7 References p. 11

Research Details for Each Study p. 22 Outcome Measures for Each Domain p. 39

Findings included in the Rating for Each Outcome Domain

Supplemental Findings for Each Outcome Domain p. 47 Endnotes

Rating Criteria p. 56 Glossary of Terms p. 57

### **WWC**—Ratings

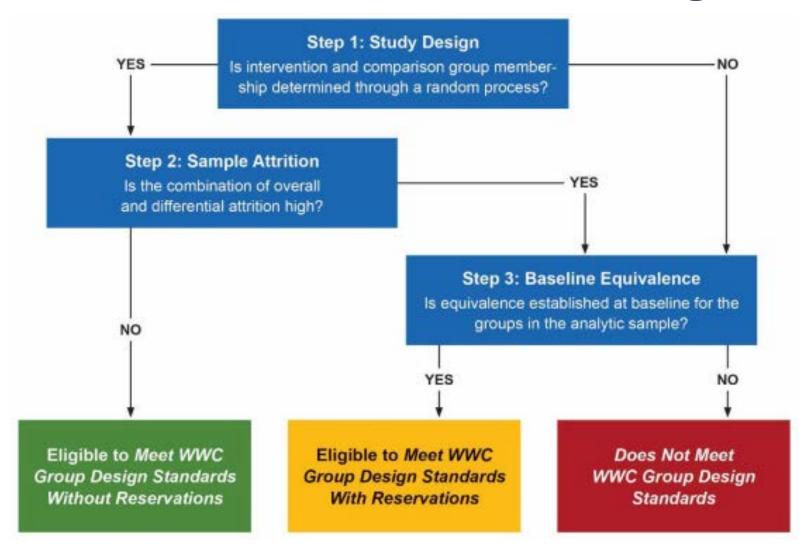
### Three ratings for RCTs and QEDs:

- Meets Group Design Standards Without Reservations
- Meets Group Design Standards With Reservations
- Does Not Meet Group Design Standards

#### Ratings for single case designs and RDD:

- Meets Without Reservations
- Meets With Reservations
- Does Not Meet Standards

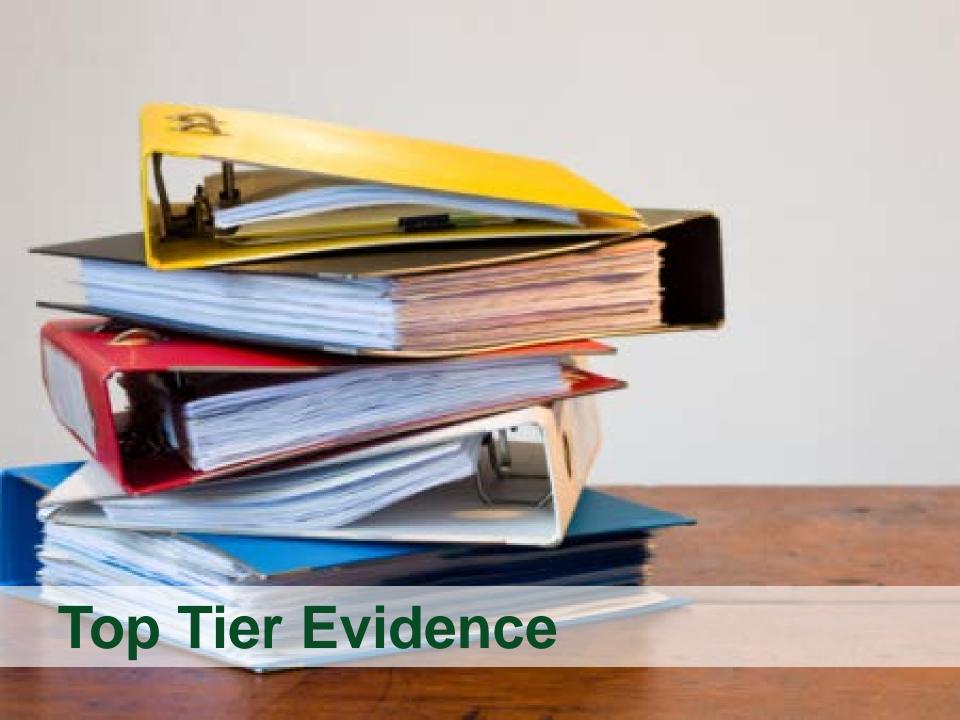
### WWC: Criteria that factor into ratings



Source: What Works Clearinghouse. (2014). Procedures and standards handbook (Version 3.0). Retrieved from https://ies.ed.gov/ncee/wwc/Docs/referenceresources/wwc\_procedures\_v3\_0\_standards\_handbook.pdf.

### **WWC-ESSA** alignment

WWC Standard	Positive/ potentially positive	Large, multisite sample	ESSA standard
Meets standards without reservations			Strong Evidence (Tier 1)
			Promising Evidence (Tier 3)
			Does not meet ESSA Tiers 1-3
Meets standards with reservations			Moderate Evidence (Tier 2)
			Promising Evidence (Tier 3)
			Does not meet ESSA Tiers 1-3



### TTE: Types of studies reviewed

### **Randomized Controlled Trials**

### TTE: Criteria factoring into ratings

Overall study design

**Outcome** measures

Reporting of intervention effects

"No strong countervailing evidence"

Applies to **no effects** in addition to **negative effects** 

## TTE: Contextual information provided

### **Evidence summaries** give information on things like:

- Sample size
- Sample characteristics
- Region of country
- Cost

Reader needs to "dig" for it.



#### Top Tier Evidence Initiative:

#### Evidence Summary for Success For All, in Grades K-2

#### HIGHLIGHTS:

- Intervention: A school-wide reform program, primarily for high-poverty elementary schools, with a strong focus on reading instruction.
- Evaluation Methods: A large, multi-site randomized controlled trial.
- Key Findings: The program increased second-grade reading achievement in Success for All schools by 25-30% of a grade-level, three years after random assignment.
- Other: Strong evidence of effectiveness applies to the program as implemented in grades K-2 (as
  opposed to later elementary school). Per-student program cost is low. Longer-term study followup would be desirable to see if effects continue beyond second grade.

#### I. Finding of the Top Tier Evidence Initiative's Expert Advisory Panel:

Success for All meets the Top Tier Evidence Standard, defined by recent Congressional legislation to include: Interventions shown in well-designed and implemented randomized controlled trials, preferably conducted in typical community settings, to produce sizeable, sustained benefits to participants and/or society.

#### II. Description of the Intervention:

Success for All is a comprehensive school-wide reform program, primarily for high-poverty elementary schools, with a strong emphasis on early detection and prevention of reading problems before they become serious. Key program elements include: (i) daily 90-minute reading classes, each of which is formed by grouping together students of various ages who read at the same performance level; (ii) a K-1 reading curriculum that focuses on language development (e.g., reading stories to students and having them re-tell), teaching students the distinct sounds that make up words (i.e. phonemic awareness), blending sounds to form words, and developing reading fluency; (iii) daily one-on-one tutoring (in addition to the classes) for students needing extra help with reading; and (iv) cooperative learning activities (in which students work together in teams or pairs) starting in the grade 2 reading classes.

The program costs approximately \$120,000 per elementary school (for implementation in grades K-5) in the first year, \$55,000 in the second year, and \$45,000 in the third year, in 2008 dollars. These costs include materials and training; schools may incur additional costs of reallocating staff from other functions (e.g., to provide a higher ratio of tutors).

Click here to go to the program's website.

#### III. Evidence of Effectiveness

This summary of the evidence is based on a systematic search of the literature, and correspondence with leading researchers, to identify all well-designed and implemented randomized controlled trials of the Success for All school-wide reform program. Our search identified one such trial, summarized below. Importantly, this trial evaluated the program as implemented in grades K-2 but not grades 3-5; thus, its findings apply only to the K-2 elements of the program.

1 December 2008

### TTE—Ratings

### **Top tier standard:**

 Well-designed, well-implemented RCTs in replicable settings. Large, sustained effects. Must be large sample\* and multisite.

### Near top tier standard:

 Meets most top tier standards; only needs replication to qualify.

<sup>\*</sup>Not necessarily large enough to meet ESSA requirements.

### TTE-ESSA—Alignment

TTE Standard	Large multisite sample	ESSA Standard
Top Tier		Strong Evidence (Tier 1)
		Promising Evidence (Tier 3)
Near Top Tier		Promising Evidence (Tier 3)

### Other resources and clearinghouses

- Blueprints Programs
  - Blueprints for Healthy Youth Development
- Crime Solutions
  - National Institute of Justice
- California Evidence-Based Clearinghouse for Child Welfare
  - California Department of Social Services Office of Child Abuse Prevention
- National Registry of Evidence-Based Programs and Practices
  - Substance Abuse and Metal Health Service Administration
- Home Visiting Evidence of Effectiveness
  - US Department of Health and Human Services







Elisabeth (Lyzz) Davis

AIR Senior Researcher

edavis@air.org