

2020-2021 Pool of Potential Items for Compliance Validation

Oversight of Schools Worksheet

Note: This list of potential items may be validated through sponsor-submitted documentation, on-site review at the school and/or Department-supplied information

Item Number	Potential Documentation
104	Available Evidence
105	Copy of applicable board-approved school policy and evidence of board approval as well as evidence of annual review/update of policy
108	Available Evidence
109	Available Evidence
110	Copy of school's plan and explanation of how plan was used, if applicable
111	Copy of applicable board-approved school policy, evidence of board approval and evidence that the policy was reviewed/updated every two years
113	Available Evidence
115	Copy of plan for fulfilling the intent of the general assembly regarding student/teacher interaction
119	Available Evidence
120	Available Evidence
122	Available Evidence
123	Available Evidence
126	Evidence of providing information regarding the College Credit Plus Program to students AND a screenshot of the appropriate page of the school's website
128	Evidence of required pathways in course offerings
139	Available Evidence
146	Available Evidence
147	Available Evidence
148	Available Evidence
149	Available Evidence
150	Available Evidence
156	Available Evidence
164	Copy of applicable board-approved school policy and evidence of board approval of the policy
165	Copy of published information
167	Copy of applicable board-approved school policy and evidence of board approval of the policy
171	Copy of applicable policies and procedures
172	Copy of applicable policies and procedures
173	Copy of applicable policies and procedures
174	Copy of applicable policies and procedures
175	Copy of applicable policies and procedures
176	Copy of applicable policies and procedures

Item Number	Potential Documentation
177	Copy of applicable policies and procedures
178	Copy of applicable policies and procedures
182	Available Evidence
183	Available Evidence
184	Available Evidence
185	Available Evidence
186	Copy of the school's plan
187	Available Evidence
196	Copy of the school's plan
197	Available Evidence
201	Available Evidence
220	Available Evidence
221	Available Evidence
301	Available Evidence
302	Available Evidence
304	Available Evidence
306	Available Evidence
307	Copy of applicable policies and procedures
309	Available Evidence
316	Copy of applicable school policy
317	Available Evidence
401	Copy of the applicable board-approved school policy and evidence of board approval of the policy
403	Available Evidence
404	Available Evidence
406	Copy of the applicable board-approved school policy and evidence of board approval of the policy
414	Copy of the applicable board-approved school policy and evidence of board approval of the policy
417	Copy of the applicable board-approved school policy and evidence of board approval of the policy
426	Copy of the applicable board-approved school admission procedures and evidence of board approval of the procedures
427	Copy of the applicable board-approved school policy and evidence of board approval of the policy
430	Available Evidence
431	Copy of the statement/document provided to parents of newly enrolled students
432	Available Evidence
433	Available Evidence
436	Copy of enrollment package OR checklist of all items included in the school's standard enrollment package
437	Available Evidence
438	Copy of applicable school policy
439	Available Evidence
440	Copy of the applicable board-approved school policy and evidence of board approval of the policy
442	Copy of the applicable board-approved school policy and evidence of board approval of the policy
443	Available Evidence
501	Documentation of offer to district and disposal

Item Number	Potential Documentation
502	Available Evidence
504	Available Evidence
506	Copy of the confirmation page from the submission of the financial report
507	Copy of bond, guarantee, or cash deposit
509	Available Evidence
513	Notice of suspension of fiscal officer
517	Employment agreement or contract and appropriate license
518	Available Evidence
519	Available Evidence
520	A copy of the detailed financial accounting
522	Copy of applicable board-approved school policy and evidence of board approval of the policy
523	Evidence of appointment of compliance officer
524	Available Evidence
525	Available Evidence
526	Available Evidence
527	Copy of applicable board-approved school policy and evidence of board approval of the policy OR copy of bond
602	Available Evidence
603	Documentation of the suspension
604	Evidence of providing new employee(s) information on means of reporting fraud
607	Available Evidence
608	Available Evidence
611	Documentation of the local professional development committee and meeting schedule for the current school year. If provided by an operator or management company, then evidence of the committee from the operator or management company
617	Available Evidence
618	Available Evidence
620	Evidence of temporary variance from the Ohio Bureau of Workers' Compensation
621	Certificate from the Ohio Bureau of Workers' Compensation
622	Available Evidence
623	Available Evidence
624	Available Evidence
625	Available Evidence
626	Available Evidence
627	Available Evidence
628	Evidence of collective bargaining unit
629	Available Evidence
634	Available Evidence
635	Available Evidence
637	Available Evidence
638	Available Evidence
639	Available Evidence

Item Number	Potential Documentation
640	Available Evidence
641	Available Evidence
651	Available Evidence
653	Available Evidence
654	Copy of applicable resolution
655	Screenshot of the website with URL for the appropriate school year
656	Available Evidence
657	Available Evidence
658	Signed conflict of interest statements for each governing authority member AND a list of all governing authority members
660	School's current nonprofit certificate awarded by the Secretary of State
661	Available Evidence
662	Comprehensive plan for the school
663	Available Evidence
664	Copy of governing authority meeting notices
665	Available Evidence
667	Proof of attendance, dates of training(s), and a list of individuals to whom the statute applies
668	Copy of applicable school policy
669	Available Evidence
702	Available Evidence
703	Copy of notification to parent, including date sent (do not include student or parent personally identifiable information)
704	Available Evidence
705	Copy of applicable board-approved school policy and evidence of board approval of the policy
706	Copy of applicable school procedures and training records
709	Available Evidence
711	Available Evidence
712	Available Evidence
713	Evidence of governing board authority's approval of purchase
714	Evidence of governing board authority's approval of purchase
715	Evidence of training and copy of designated form
716	Available Evidence
753	Copy of applicable school policy
754	Copy of program materials
755	Governing board minutes detailing the policy review including information about the specific health and safety policies that were reviewed
756	Copy of school policy or regulations regarding required eye protection
757	Evidence of training employees on use of the devices
758	Available Evidence
761	Copy of applicable board-approved school policy and evidence of board approval of the policy
762	Proof of in-service training
776	Copy of the applicable board-approved school policy

Item Number	Potential Documentation
778	Available Evidence
779	Available Evidence
781	Available Evidence
782	Acknowledgement of existence of summer breakfast and/or lunch program OR board determination that it cannot comply
783	Acknowledgement of existence of breakfast program OR board determination that it cannot comply
802	Available Evidence
803	Available Evidence
804	Copy of applicable school policy
805	Sample pre-trip inspection form
806	Copy of applicable school policy
807	Available Evidence
811	Available Evidence
812	Available Evidence
813	Available Evidence
814	Copy of applicable school policy
816	Available Evidence
901	Copy of the applicable school policy and procedures
902	Copy of applicable board-approved school policy and evidence of board approval of the policy
903	Copy of applicable board-approved school policy and evidence of board approval of the policy
956	Screenshot of the website that includes the committee recommendations

Sponsor Worksheet

Note: This list of potential items may be validated through sponsor-submitted documentation and/or Department-supplied information

Item Number	Potential Documentation
S-101	Available Evidence
S-102	Address of the representative(s) assigned to provide monitoring and assistance to the school
S-501	Available Evidence
S-502	Schedule of meetings for each sponsored school AND a copy of the plan of action
S-503	Narrative of how the sponsor met the requirements of ORC 3314.191
S-601	List of schools and associated audit meetings AND documentation of the audit meetings attended by the sponsor
S-603	Copy of authorization or explanation of exemption
S-604	Available Evidence
S-605	Explanation of exemption, if applicable
S-606	Available Evidence
S-607	Copy of contract between the sponsor and the schools for the sale of goods or services (or reference to applicable page numbers if found in contract posted on Department website) OR exemption information
S-608	Screenshot of the appropriate page of the website

S-609	Evidence that ensures that the evaluation results were made available to parents
S-611	Available Evidence
S-612	Documentation of placing the school on probation and evidence of governing authority assurances
S-613	Documentation related to placing the school on suspension