# COURSE INVALID CERTIFICATION REPORT EXPLANATION (CSV\_2012K\_STF\_INVL\_CRT\_CRSE)

**Education Management Information System (EMIS)** 



**Prepared by the ITO-Data Quality and Governance** 



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## **Revision History**

The revisions to this document are listed in the table below.

<b>Revision Date</b>	Owner/Source	Description of Change
March 28, 2012	2 IPM	Revised for FY12; Added Staff Course information, updated samples
Watch 26, 2012		and changes due to EMIS-R
1/13/14	IPM	Updated error messages table. Deleted appendix as the two character
	IPWI	credential type codes do not appear on the report.

## Tips for Reading This Document

- EMIS data elements are written in SMALL CAPITAL LETTERS.
- Report field names are written in **BOLD SMALL CAPITAL LETTERS**.
- Options (values) for each data element are indicated in *ITALICIZED CAPITAL LETTERS* and are enclosed in quotation marks.
- Record names are displayed in *italicized bold letters*.
- File names are displayed in **CAPITALIZED BOLD LETTERS**.
- CCYYP denotes the century and year and processing period.

## **Course Invalid Certification Reports**

The following describes the process that results in the generation of the Course Invalid Certification reports.

- 1. Individuals send their application(s) to the Ohio Department of Education, Office of Educator Licensure, which issues teaching licenses on behalf of the State Board of Education.
- 2. The Office of Educator Licensure then enters the individual's application information into a database (CORE) and determines whether the applicant meets requirements set by law to receive the requested license. If the applicant does meet the requirements, then the license is issued and mailed to them.
- 3. Once individuals are hired by a district, the relevant staff data are entered into the EMIS *Staff Demographic Record* and the *Staff Employment Record*. For those who educate students, the district creates a *Course Master Record*, which includes the courses scheduled. The district also creates a *Staff Course Record* with the Employee ID of the individual(s) who teaches the scheduled courses. Students are scheduled into these courses and reported via the *Student Course Records*. Districts submit these staff and student data to ODE via EMIS.
- 4. During EMIS processing, the EMIS staff and student course data are compared to the CORE database to ascertain whether the person is appropriately certified or licensed for the position for which he has been hired.
- 5. A **PROPER CERT FLAG** is generated for each *Course Master Record* submitted to EMIS. The flag appears on the invalid certification reports and is populated with a "Y" or an "N". All courses are included on the reports, with the "N" records sorted at the top.



## Report Overview, INVL\_CERT\_CRSE

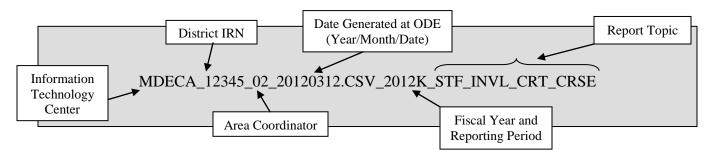
## General Description and Significance

The INVALID\_CERT\_COURSE Report displays all *Course Master Records* reported and whether the staff member assigned is validly credentialed to teach the course.

The INVALID\_CERT\_COURSE Report includes data for all staff members connected to a *Course Master Record* that has been submitted via EMIS. The accuracy of these data is critical. Districts should report data that accurately reflect what is occurring in a building/district. These data are and can be used for a variety of reasons. For instance, these data are currently used for state report cards as well as certain funding at both the state and federal levels. These data are also used within ODE to evaluate current programs and processes and to guide the creation of new programs and processes.

#### Report Name and Timing

The file name for the INVALID\_CERT\_COURSE report is described below:



The reports are based on data submitted for the October (K) EMIS reporting period.

## **ODE Processing, INVL\_CERT\_CRSE**

## **Process Description**

During the report creation, the students reported in the *Student Course Record* for each course are aggregated by grade and disability type code, which is derived from data reported in the *Student Attributes—Effective Date Record*.

Course Master Records and Staff Course Records are then used to identify which staff member is assigned to each course. For each course, data regarding the teacher's currently valid credentials is extracted from the CORE database and compared with the course data (Staff Course, Student Course, and Course Master Records) to determine whether the staff member is appropriately certified to teach the subject matter, the student population, and the grade level(s) of the students scheduled into the course.

ODE developed a program that does this by comparing



- the students from the *Student Course Record*, summed by grade level and disability type code, which is derived from the students' *Student Attributes—Effective Date Records*.
- the *Course Master Record*, which indicates the SUBJECT CODE, CURRICULUM CODE, DELIVERY METHOD, EDUCATIONAL OPTION, and STUDENT POPULATION of the course.
- the teacher's **Staff Course Record**,
- and the *Staff Employment Record*, which indicates the DISTRICT IRN, BUILDING IRN, and TYPE OF APPOINTMENT for the position in which the teacher is employed

with the data submitted to the ODE Office of Educator Licensure and contained in the CORE database.

If the program shows a match between the course information, the staff information, and the ODE certification data, a "Y" appears in the PROPER CERTIFICATION FLAG to indicate that the staff member meets the certification/licensure requirements to teach that course. If no match occurs, then an "N" appears in the PROPER CERTIFICATION FLAG to indicate that the person does not meet the certification/licensure requirements for that course. Both "Y" and "N" records appear on the Invalid Certification Course report, sorted by Building IRN then the "N" records.

#### Data Source

As noted in the Process Description, the following EMIS files are used in producing the Invalid Course reports:

- Staff Course
- Staff Demographic
- Staff Employment
- Student Attributes—Effective Date
- Student Course
- Course Master

The data in these files are evaluated in conjunction with credential files from the CORE database. Elements from both the EMIS files and the CORE database appear on the report.

#### **Submitted Data Used in Report**

Element Name	Record Field #	File/Record Name
ASSIGNMENT AREA	CK220	Staff Employment
BUILDING IRN	CK090	Staff Employment
BUILDING NAME		OEDS
COURSE ENROLLMENT END DATE	GN170	Student Course
COURSE ENROLLMENT START DATE	GN160	Student Course
COURSE TYPE	CN130	Course Master
CURRICULUM CODE	CN310	Course Master
DELIVERY METHOD	CN320	Course Master

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Element Name	Record Field #	File/Record Name
DISABILITY CONDITION	FD130	Student Attributes-Effective Date
DISTRICT IRN	CK040	Staff Employment
DISTRICT NAME		OEDS
EDUCATION LEVEL	CI100	Staff Demographic
EDUCATIONAL OPTION	CN330	Course Master
EMPLOYEE ID	CI050	Staff Demographic
FIRST NAME	CI290	Staff Demographic
LENGTH OF SCHEDULED INSTRUCTION	CN100	Course Master
LOCAL CLASSROOM CODE	CN060	Course Master
LOCAL CLASSROOM CODE	GN080	Student Course
LAST NAME	CI310	Staff Demographic
MIDDLE NAME	CI300	Staff Demographic
POSITION CODE	CK060	Staff Employment
POSITION TYPE	CK140	Staff Employment
STAFF COURSE END DATE	CU050	Staff Course
STAFF COURSE START DATE	CU040	Staff Course
STATE EQUIVALENT GRADE LEVEL	FD090	Student Attributes-Effective Date
STUDENT POPULATION	CN340	Course Master
SUBJECT CODE	CN050	Course Master
TYPE OF APPOINTMENT	CK150	Staff Employment

#### **Additional Data Used in Report**

The following elements are either added to the *Staff Employment Record* or come directly from the CORE database.

Element Name	Field Value	Conversion factors
CERTIFICATION TYPE		Office of Educator Licensure, CORE database
CHECK TYPE CODE	"A", "C", "N", "R", "S"	Added during certification verification process. See below for description of codes.
CREDENTIAL ID	Valid Certificate number	Office of Educator Licensure, CORE database
DISABILITY TYPE	"A", "B", "C", "D", "E",	Added during certification verification process. See
INDICATOR	"Z"	below for description of codes.
ERR MESSAGE		Added during certification process to explain why course is marked as Not Properly Certified. See below for description.
PROPER CERT FLAG	"Y" or "N"	Added during certification verification process.
TEACHING FIELD(S)		Office of Educator Licensure, CORE database

#### **Disability Type Indicator Code**

The following table indicates the disability type indicator that is derived from the STU-DENT POPULATION element and a count of students registered for the course by the DISA-BILITY CONDITION from the data reported in the *Student Attributes-Effective Date Record*.

Disability Type Indicator Code	Disability Type Indicator Description
A	Student Population of DP or SP. More than 50% of the students in the course must have a disability condition. Of these students, more than 50% must have a disability condition of 1 (Multiple Disabilities-Other than Deaf-Blind), 2 (Deaf-Blindness), or 3 (Deafness-Hearing Impaired); can be all 3s or some combination of these three disability conditions. For Student Population, the Check Type Code (see below) is S.
В	Student Population of DP or SP. More than 50% of the students in the course must have a disability condition. Of these students, more than 50% must have a disability condition of 1 (Multiple Disabilities-Other than Deaf-Blind), 2 (Deaf-Blindness), or 4 (Visual Impairments); can be all 4s or some combination of these three disability conditions. For Student Population, the Check Type Code (see below) is S.
С	Student Population of D8 or SE. More than 50% of the students enrolled in the course must have a disability condition, and the course cannot fit into codes A or B. For Student Population, the Check Type Code (see below) is S.
D	Student Population of DP, D8, SE, or SP. 50% or fewer of the students enrolled in the course have a disability condition. For Student Population, the Check Type Code (see below) is R.
Е	Student Population of GA or GE. For Student Population, the Check Type Code (see below) is S.
Z	Student Population of PR or RG. For Student Population, the Check Type Code (see below) is R.

#### **Check Type Codes**

Before the invalid\_cert process can be run, one of five check type codes must be added to each course master record. To determine which check type code applies to a particular course, the **EDUCATIONAL OPTION**, the **STUDENT POPULATION**, the **DELIVERY METHOD**, and the **CURRICULUM CODE** are considered.

Once it is determined what type of check is required for the course, one of the following five codes is added to the course master and the invalid\_cert process is run.

- A = Any Cert Check. This applies, for instance, to courses that are reported with a "Y" for **EDUCATIONAL OPTION**. As any credentialed teacher is valid for such a course, the check type for these courses is "A".
- C = Community School Check. Community school teachers are first evaluated with the checks for regular public districts. If these checks produce an "N" for the Invalid Cert Flag, then the course is marked as a check type of "C" and the community school checks are run (generally, any credentialed teacher—including a long-term substitute—can validly teach a course).
- N = No Check Required. This code is used when no certification check is required. Examples of courses that would be check type "N" are those with a **CURRICULUM CODE** of OC, PI, or PS.
- R = Regular Check. This check type makes up the majority of the checks. These are courses that, for example, are not **EDUCATIONAL OPTIONS**, are not taught to



special STUDENT POPULATIONS, are taught face to face, and have CURRICULUM CODE(S) such as AP, IA, or OT.

• S = Special Situation Check. This check type is used for courses with STUDENT POPULATIONS of DP, D8, GA, GE, SE, or SP that are not EDUCATIONAL OPTIONS.

## Report Sample, INVL\_CRSE

These reports will be sorted by LEA IRN, LOCATION IRN, VALID CERTIFICATION FLAG (Ns appear first), STAFF ID, SUBJECT CODE, AND LOCAL CLASSROOM CODE.

#### **Detail Information**

The following is the header as it appears in the CSV file. *Note*. The comma between each element name allows the data to be opened in Excel as a comma-delimited file without any additional formatting on the user's part.

The first part of each record provides staff information: REPORTING LEA IRN, BUILDING IRN, STAFF ID, STAFF NAME, POSITION, and ASSIGNMENT AREA. These data come from the Staff Employment and Staff Demographic Records.

The second part of each record provides information about the courses, listing the LOCAL CLASSROOM CODE, SUBJECT CODE, SUBJECT DESCRIPTION, CURRICULUM CODE, DELIVERY METHOD, EDUCATIONAL OPTION CODE, and STUDENT POPULATION CODE. These data come from the *Course Master Records*.

The next part of each record provides student count information, listing the Student Count Pre-School to Grade 23. Also listed is the Disability Type Indicator and Description, which is derived from the count of students with or without disabilities who are enrolled in the course. These data come from the *Student Attributes-Effective Date Records*.

Finally, each record provides the check type code, which all describe what type of check the course was run through, and an error message (if applicable) for why the PROPER CERT FLAG = "N".

#### **Error Messages**

The following error messages are written out to the CSV file to help describe the reason why a course has a PROPER CERT FLAG = "N".



Error Message	Error Message Description
Generic Error Message-Rule Check not Found.	This message could indicate any of the following:  • Wrong Credential: The credential type does not match the requirements for the course.  • Wrong Field: The teaching field does not match the requirements for the course.  • Student Population Mismatch: The relevant credential is not appropriate for the student population of the students enrolled in the course.
The students enrolled in the course are outside the appropriate grade range for the relevant credential.  There are no students scheduled into the course.	The students enrolled in the course are outside the appropriate grade range for the relevant credential.  There are no students scheduled into the course.
uled into the course.  A substitute credential is not valid as the teacher of record (except in community schools).	In a regular public district, a substitute credential is not valid as the teacher of record.
The org IRNs are not matching	The teacher is not validly credentialed to teach in the reporting IRN. The relevant credential has a "valid in" IRN and that IRN does not match either the reporting IRN (and is not in the reporting IRN's hierarchy) or the provider IRN.

## CSV Report Example

RPTING-LEA-IRN, BLDING-IRN, SUBJCT-CODE, SUBJCT-DESCR, STAFF-ID, STAFF-LAST-NM, STAFF-FIRST-NM, STAFF-MID-NM, POSITN-CODE, POSITN-DESCR, ASSNMT-AREA-CODE, ASSNMT-AREA-DESCR, LOCAL-CLASSRM-CODE, CRCULM-CODE, DLVR-METHOD-CODE, EDUC-OPTION-CODE, STDNT-POP-CODE, STDNT-COUNT-PS, STDNT-COUNT-K, STDNT-COUNT-1, STDNT-COUNT-2, STDNT-COUNT-3, STDNT-COUNT-4, STDNT-COUNT-5, STDNT-COUNT-6, STDNT-COUNT-7, STDNT-COUNT-8, STDNT-COUNT-9, STDNT-COUNT-10, STDNT-COUNT-11, STDNT-COUNT-12, STDNT-COUNT-13, STDNT-COUNT-23, DISAB-TYP-IND, DISAB-TYP-DESCR, PROPER-CERT-FLAG, CHECK-TYPE-CODE, ERR-MESG

*Note.* The blank rows between each record are here for readability only. They do not appear in the actual CSV file.

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#### Error Detection and Correction, INVL\_CRSE

If courses are being marked with an Invalid Cert Flag of N and you do not think they should be (or a flag of Y when you think it should be N) there could be a coding error. Things to check include:

- ✓ Check to see the subject (course) being taught. Is the teacher really teaching this subject?
- ✓ Are the Appointment Type and Degree entered correctly?
- ✓ Does the Employee ID begin with a Z? The letter Z is appended to the Employee ID when there is no matching ID in the CORE database.
- ✓ Are the Student Population, Delivery Method, and Curriculum Code correct?
- ✓ Are students enrolled in the course?
- ✓ Is the staff person certified to teach the grade levels of the students enrolled in the course?
- ✓ Is the correct Grade Level entered for students enrolled in the course?
- ✓ Does the teacher have the correct teaching field on their credential to teach the course?
- ✓ Does the teacher hold only a substitute credential? Except in community schools, substitute credentials are never appropriate for the teacher of record.

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