IDEA Early Childhood Transition from Part C to Part B (Indicator 12) Technical Documentation

Version 1.0

March 2026

Department of Education & Workforce

Revision History

The revision history section provides a means for readers to easily navigate to the places where updates occurred from prior years. RED underlined text indicates significant changes and updates for additions and ~~RED text with strikethroughs~~ indicates deletions. Minor changes—such as typos, formatting and grammar corrections—are not marked.

Date	Effective	Description
3/26/2026	2025-2026	Corrected Appendix A denominator info
03/25/2026	Version 1.0	Initial release of the Indicator 12 technical documentation

Ohio Revised Code and Ohio Administrative Code require districts to report data to EMIS and to verify and approve all EMIS data. Districts should review and verify data before the close of the different data collections. This verification should include all relevant reports, including those in the General Issues Reports.

The Department uses the data for this indicator to evaluate valid and reliable data reporting. The Secure Data Center (SDC) is an interactive tool that allows districts to review data well before it is final. ***The local education agency (LEA) is responsible for data verification and validation. The SDC has now been expanded for districts and schools to review Special Education Indicator Data in addition to all relevant EMIS reports.*** The EMIS Validation and Report Explanations webpage lists current Level 1 and 2 report explanations as well as a list of General Issues Reports that should be used to validate data. Another resource to validate data for students who cross LEAs is the Ohio District Data Exchange (ODDEX).

Secure Data Center Reports Breadcrumb Trails

The breadcrumb trails outlined in this section guide users through the SDC interface to locate specific reports related to Indicator measures. These navigation paths are essential for verifying student group performance and preparing accountability reviews. **At this time the report is unavailable but will be released in the future.**

- **Indicator 12**
  - Report Portal>Secure Data Center> Special Education Indicators > **IDEA Early Childhood Transition from Part C to Part B (to be released in future)**

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Introduction

Section 1416(a)(3) of the Individuals with Disabilities Education Act (IDEA) Section 1416 (a) (3) requires states to report on the timely transition of children from Part C (Early Intervention) to Part B (Preschool Special Education Services). In Ohio, this requirement is addressed through **Indicator 12**, a key component of the state's special education accountability system.

Indicator 12 measures the percentage of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthday.

This technical document provides a comprehensive overview of Indicator 12, including who is included in the calculation, how the indicator is calculated, the reports in which the data appears, and the data sources used by the Department, such as the Education Management Information System (EMIS). The goal is to clarify the processes for collecting, analyzing, and reporting data to ensure compliance with federal guidelines and support informed decision-making by stakeholders.

The IDEA Part B State Performance Plan (SPP) and Annual Performance Report (APR) define the data sources and measurement criteria for all indicators. The Department calculates Indicator 12 using data submitted through EMIS.

Reports Utilizing Indicator 12 Data

Indicator 12 data is featured in several key reports that support monitoring and accountability of special education services:

- **Special Education Profile**– Provides detailed district and community-school level data.
- **Annual Performance Report (APR)**– Submitted to the U.S. Department of Education.
- **Public Indicator Report**–Publicly posted to promote transparency.
- **Special Education Rating**– Evaluates the performance of Ohio's districts and schools.

Each of these reports plays a vital role in assessing and improving educational outcomes for students with disabilities in Ohio.

Business Rules

Determining Students included in Denominator

Indicator 12 includes students with disabilities who are aged 3 through 5 and not enrolled in kindergarten, based on their **District of Residence.**

Indicator 12

Definition: measures the percentage of children referred by Part C prior to age three who are found eligible for Part B and have an IEP developed and implemented by their third birthday.

Measure Definitions

- **12A**: Total children served in Part C and referred to Part B. Includes:
    - Preschool Transition Conference Date (PSTC) event reported in EMIS Student Special Education (GE) Record

    - Students reported in EMIS Organization General Information (DN) Record
        - STUPSTCBTR Count of preschool transition conferences held by 3rd birthday, no disability suspected, no additional evaluation
        - STUPSTCATR Count of preschool transition conferences held after 3rd birthday, no disability suspected, no additional evaluation
    - Children referred and found eligible for Part C <90 days before their third birthday (12E)
- **12B**: Children found *not eligible* for Part B prior to age three.
- **12C**: Children found *eligible* with an IEP developed and implemented by age three.
- **12D**: Children with delays due to parental refusal or exceptions under 34 CFR 300.301(d).
- **12E**: Children referred to Part C <90 days before age three.

Key Business Rules and Data Sources

12A – Total Population

- PSTC events must occur before or on the third birthday and be reported in the final student collection (20XXS3TRD).
- Exclusions:
    - June birthdays (third birthday after 6/1/20XX)
    - PSTC events without subsequent ETR or IEP

12B – Not Eligible

- Based on ETR events with outcome code 'ETNE' reported before or on the third birthday.
- Must be reported by the same district that submitted the PSTC.

12C – IEP Developed and Implemented

- IIEP outcome start date must be on or before the third birthday.
- If IEP is not served but an amended (AIEP) or review (RIEP) IEP is implemented before age three, the student is included.
- Exclusions:
    - IEP refusals (IEPR)
    - Consent refusals (CNRF, CNNR)
    - Alternative IEP types (NIEP, TIEP, RIEP without prior IIEP)

12D – Delays Due to Refusal or Exceptions

- Includes delayed ETR/IEP events with valid noncompliance codes (5–9).
- Includes PSTC events 45 days or less before the third birthday with no IEP or with IEP not served.
- Includes IEP refusals and consent withdrawals before or on the third birthday.
- Includes withdrawals from local educational agency reported before the third birthday.

12E – Late Part C Referrals

- Children referred to Part C <90 days before their third birthday.

- With PSTC reported: counted in 12E at the **district level and state level**
- Without PSTC: counted in 12E at the **state level** only

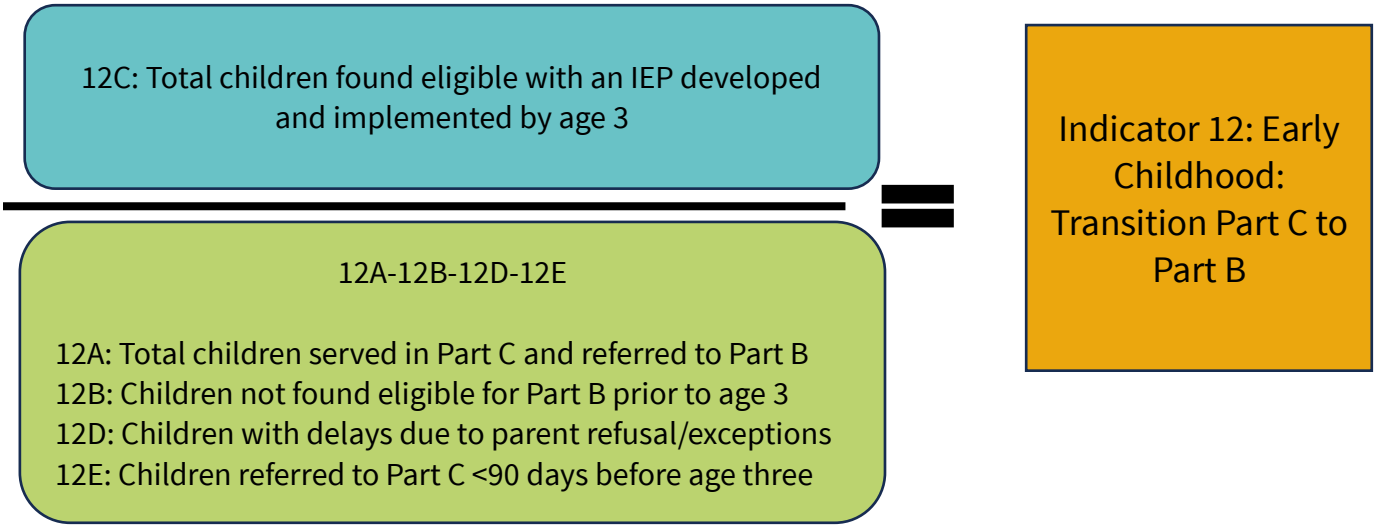
Data Integrity and Reporting

- Data is sourced from EMIS Special Education Event GE Records, Organization General Information DN Records, and Early Intervention data provided by Department of Children and Youth.
- The earliest event dates are used when multiple records exist.
- Districts must ensure accurate and timely reporting to avoid misclassification and ensure compliance.

Additional Details:

- **Code Section**: IDEA Section 1416 (a)(3)(B)
- **Grade Levels**: Ages 3 through 5, not enrolled in Kindergarten
- **N-Size /Cell Size**: None

Figure 2. Visual of Indicator 12 Calculation



Indicator 12 Met/Not Met Status

Federal law requires states to implement a general supervision system to monitor compliance with IDEA 2004. Indicator 12 is part of the indicators reviewed in the Compliance and Performance Indicator Reviews.

The Department determines whether an agency '**Met'** or did not meet ('**Not Met**') the target for Indicator 12 which is **100%.**

Student Outcome Examples

The Indicator 12 Student Outcome Examples chart provides detailed scenarios that demonstrate how children are included in, or excluded from, the Indicator 12 calculation based on established EMIS reporting requirements and denominator criteria. These examples are intended to assist districts in accurately interpreting federal and state expectations by illustrating how specific factors such as transition timelines, referral and evaluation elements, district relationship codes, and age or IEP conditions determine a child's placement in the calculation. By reviewing these scenarios, districts can more confidently validate their data, apply the Indicator 12 business rules consistently, and improve the accuracy of their Early Childhood Transition reporting.

Student A

Student A participated in a Preschool Transition Conference (PSTC) on August 23, 2024, and the district obtained parental consent for evaluation on the same day. The Evaluation Team Report (ETR), with an eligibility outcome of ET16 (eligible), was completed on August 30, 2024. The district developed and implemented the initial IEP on the child's third birthday, September 3, 2024, resulting in zero days beyond the required deadline. Student A is **counted under 12A – Total Population and 12C – IEP Developed and Implemented** and is **included in the denominator and numerator**.

Student B

Student B's PSTC was held on November 11, 2024, the same day the district obtained parental consent for evaluation. The district also completed the ETR on November 11, 2024, with an outcome of ETNE, indicating the child was not eligible for Part B services. Because the **eligibility determination occurred prior to the child's third birthday** on December 18, 2024, Student B is **counted** under 12A Total Enrollment and **12B – Not Eligible**.

Student C

Student C had a PSTC on May 5, 2025. On the same day, the parent refused consent for evaluation, as documented with a CNRF outcome. No ETR or IEP events were reported, which is expected when consent is refused. Because the child's third birthday (May 20, 2025) occurred after a documented **parent refusal**, this student is **categorized under 12D – Delays Due to Refusal or Exceptions**, which includes delays due to parent actions. This student is **excluded from both the numerator and denominator** for the Indicator 12 calculation.

Student D

Student D's PSTC was reported on June 7, 2024, and parental consent for evaluation was obtained the same day. The district completed the ETR on June 26, 2024, with an ET05 outcome. According to early intervention data, the student was determined eligible for Part C **fewer than 90 days before the third birthday of September 3, 2024**. Because late referrals of this type fall under **category 12E – Late Part C Referrals**, representing children referred to Part C too close to age three for a timely transition. Students assigned to 12E are **excluded from both the numerator and denominator** of the Indicator 12 calculation.

Student E

Student E's transition conference was held on May 28, 2024, and parental consent for evaluation was obtained the same day. The district completed the ETR on June 4, 2024, with an ET16 outcome

indicating eligibility. The initial IEP was implemented on September 3, 2024, eight days after the child's third birthday on August 26, 2024, resulting in an untimely transition. Because Student E met all requirements to be **included in the denominator** but the IEP was implemented after the third birthday with no valid noncompliance code reported, the student **is excluded from the numerator** for Indicator 12.

Student F

Student F's PSTC was held on May 1, 2024, and parental consent for evaluation was obtained the same day. The district completed the ETR on June 5, 2024, with an ET16 outcome indicating eligibility. The initial IEP was implemented on August 27, 2024, one day after the child's third birthday on August 26, 2024. Because the district reported a **valid noncompliance reason (code 05),** the student is **categorized under 12D – Delays Due to Refusal or Exceptions**. Students assigned to 12D due to valid noncompliance codes are **excluded from both numerator and the denominator** of the Indicator 12 calculation.

Student G

Student G was referred from Part C to Part B and participated in a valid PSTC on September 13, 2024. The district obtained parental consent for evaluation on the same day, and the ETR was completed on October 28, 2024, with an ET05 outcome. Before the district could develop and implement an initial IEP, the student withdrew from the district prior to the third birthday on December 12, 2024. Because the **withdrawal occurred before the child turned three**, an allowable exception under Indicator 12 rules, Student G is removed from the Indicator 12 calculation, as they are **categorized under 12D – Delays Due to Refusal or Exceptions**.

Student H

Student H's PSTC was held on November 20, 2024, and parental consent for evaluation was received shortly afterward on December 4, 2024. The district completed the ETR on January 9, 2025, with an outcome of ET12, indicating the child was found eligible for services. The initial IEP was implemented on January 21, 2025, well before the child's third birthday on February 18, 2025. Because all required steps were completed within the established timelines and no exclusions apply, Student H is **included in both the denominator and numerator as a timely 12C – IEP Developed and Implemented case**.

Student I

Student I received Early Intervention for multiple years prior to transition. In this scenario, the district had ample time to prepare: referral information was received early, the PSTC was held on time, the ETR was completed before the child turned three, and the initial IEP was implemented prior to the child's third birthday. However, the district did not report the PSTC date in EMIS. Because the absence of the GE100 PSTC record prevents the state from determining whether the transition was timely, Student I is **excluded from the Indicator 12 denominator** due to a data reporting error.

EMIS Reported Element	Student A	Student B	Student C	Student D	Student E	Student F	Student G	Student H	Student I
Preschool Transition Conference (PSTC)Date Date Type Element (GE100) = PSTC and Date Element (GE110)	8/23/2024	11/11/2024	05/05/2025	**6/7/2024 (New to Part C less than 90 days)**	05/28/2024	05/01/2024	09/13/2024	11/20/2024	01/30/2025
Date of Birth (CI070)	9/03/2021	12/18/2021	05/20/2022	09/03/2021	08/26/2021	08/26/2021	12/12/2021	02/18/2022	04/30/2022
Initial Consent Date Date Element (GE110)	8/23/2024	11/11/2024	05/05/2025	6/7/2024	05/28/2024	05/01/2024	09/13/2024	12/04/2024	03/12/2025
Initial Consent Outcome ID Element (GE120)	CNGI	CNGI	**CNRF**	CNGI	CNGI	CNGI	CNGI	CNGI	CNGI
Evaluation Team Report (ETR)Date Date Type Element (GE100) = IETR and Date Element (GE110)	08/30/2024	11/11/2024	NA	6/26/2024	06/04/2024	06/05/2024	10/28/2024	01/09/2025	04/11/2025
ETR Outcome Outcome ID Element GE120)	ET16	**ETNE**	NA	ET05	ET16	ET16	ET05	ET12	ET05
Initial Individualized Education(IEP) Plan Date Date Type Element (GE100) = IIEP and Outcome Beginning Date Element (GE140)	09/03/2024	NA	NA	08/09/2024	09/03/2024	08/27/2024	**Withdrew before completed**	01/21/2025	04/28/2025

Reason for Non-Compliance Non-Compliance ID element (GE120)	NA	NA	NA	NA	NA	**05**	NA	NA	NA
SSID included in DCY Data	Y	Y	N	Y	Y	N	Y	Y	Y
Days before Third Birthdate (Third Birthdate – IEP Date)	**0**	NA	NA	NA	**-8**	-1	NA	28	2
Category Student Included in	**12C, 12A**	12B	12D	12E	**12A**	12D	12D	**12C, 12A**	**NA**
Included in Numerator: 12C	**Yes**	No	No	No	No	No	No	**Yes**	No, due to data reporting error
Included in Denominator: 12A – (12B + 12D +12E)	**Yes**	No	No	No	**Yes**	No	No	**Yes**	No, due to data reporting error

Appendix A Inclusion Criteria

Indicator 12 includes students with disabilities who are aged 3 through 5 and not enrolled in kindergarten, based on their **District of Service** unless reported by both district of service and residence**,** then it is counted at the **District of Residence.**

A student is counted in the calculation **if** they are reported in the Education Management Information System (EMIS) with the following criteria:

Denominator Criteria

Data from DEW - A student is included in the denominator if:

- **Date Type Element (GE100) =** PSTC AND
  - **Date Element (GE110)** = date on which is on or before their 3rd birthday AND
- **Date of Birth (CI070)**= 6/1/20XX through 5/31/20XX (3rd Birthday) AND

AND

- **Attribute Name (DN060)** = STUPSTCBTR AND

AND

- **Attribute Name (DN060)** = STUPSTCATR

Data from DCY - A student is removed from ~~included in~~ denominator if:

- Child reported to Part C as being referred and found eligible for Part C less than 90 days before the child's third birthday

Numerator Criteria

- **Date Type Element (GE100) = IIEP** AND
- **Outcome Beginning Date Element (GE140)** = date in which is on or before their 3rd birthday